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# Obstructive Sleep Apnea

## Diagnosis and Treatment

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**PARKVIEW**  
HEALTH

# Disclosures



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- No financial disclosures
- Slide set material from AASM for public lectures
- Few additional slides have been incorporated that are not part of the original AASM slide set

# Definitions



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## 1. Apnea

- a.  $\geq 90\%$  drop airflow excursion from baseline lasting  $\geq 10$  seconds

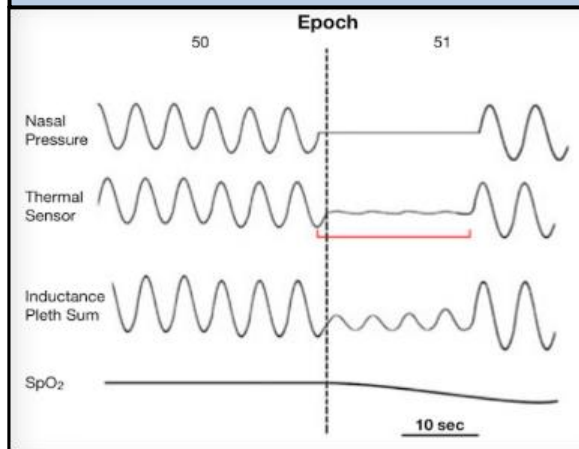
## 2. Hypopnea

- a.  $\geq 30\%$  drop in airflow from baseline lasting  $\geq 10$  seconds and:
- b. Associated with  $\geq 3\%$  oxygen desaturation **or** arousal

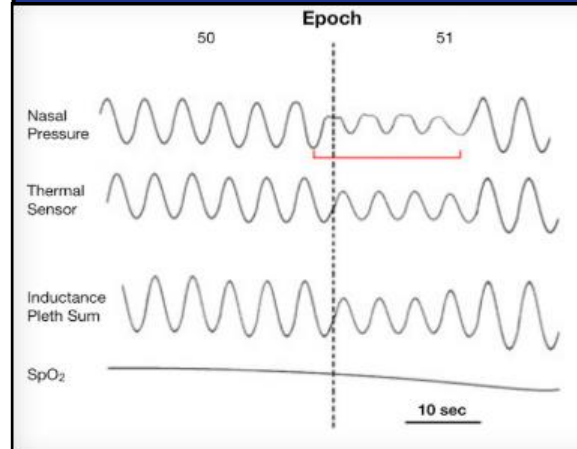
## 3. RERA:

- a.  $\geq 10$  seconds increased respiratory effort or flattening of inspiratory waveform leading to an arousal. Does not meet criteria for apnea or hypopnea.

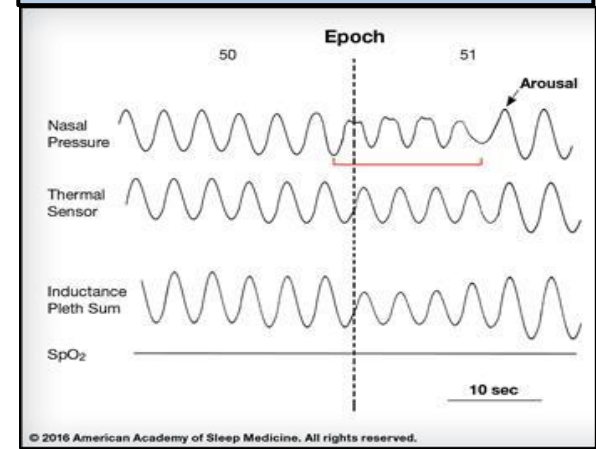
## Obstructive Apnea



## Obstructive Hypopnea



## Respiratory Effort Related Arousal (RERA)



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# Measures of Sleep Apnea Frequency



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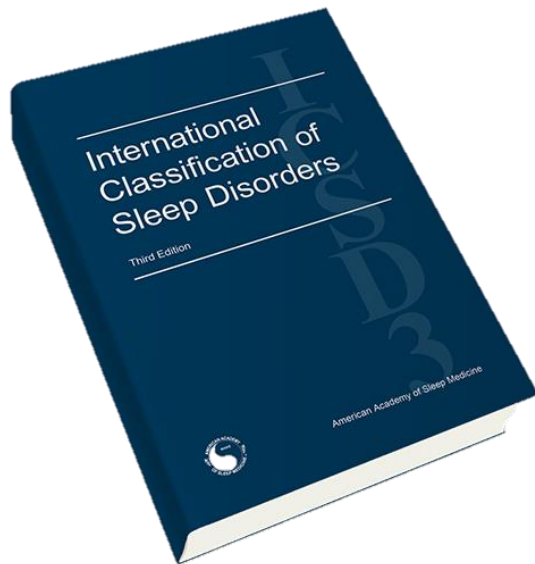
- **Apnea / Hypopnea Index (AHI)**
  - # apneas + hypopneas per hour of sleep
- **Respiratory Disturbance Index (RDI)**
  - # apneas + hypopneas + RERAs per hour of sleep
- **Respiratory Event Index (REI)**
  - # respiratory events per hour of monitoring time on Home Sleep Apnea Testing (HSAT)

# ICSD-3 Diagnostic Criteria for OSA



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≥ 5 obstructive respiratory events/hour

*and:*

Snoring, witnessed apneas, fatigue, somnolence, mood/cognitive disorder, hypertension, type 2 diabetes, stroke or cardiac disease

*- or -*

≥ 15 obstructive respiratory events/hour

# Current Prevalence of OSA in USA

| Age (years)                   | 1988-1994<br>Young<br>NEJM, 1994 | 2007-2010<br>Peppard<br>Am J Epidemiol, 2013 |
|-------------------------------|----------------------------------|--|
| <b>Men (%)</b>                |                                  |  |
| <b>AHI &gt; 5/hr (30-70)</b>  | <b>26</b>                        | <b>34</b>                                    |
| <b>AHI &gt; 15/hr (30-70)</b> | <b>9</b>                         | <b>13</b>                                    |
| <b>Women (%)</b>              |                                  |  |
| <b>AHI &gt; 5/hr (30-70)</b>  | <b>13</b>                        | <b>17</b>                                    |
| <b>AHI &gt; 15/hr (30-70)</b> | <b>4</b>                         | <b>6</b>                                     |

# OSA Prevalence



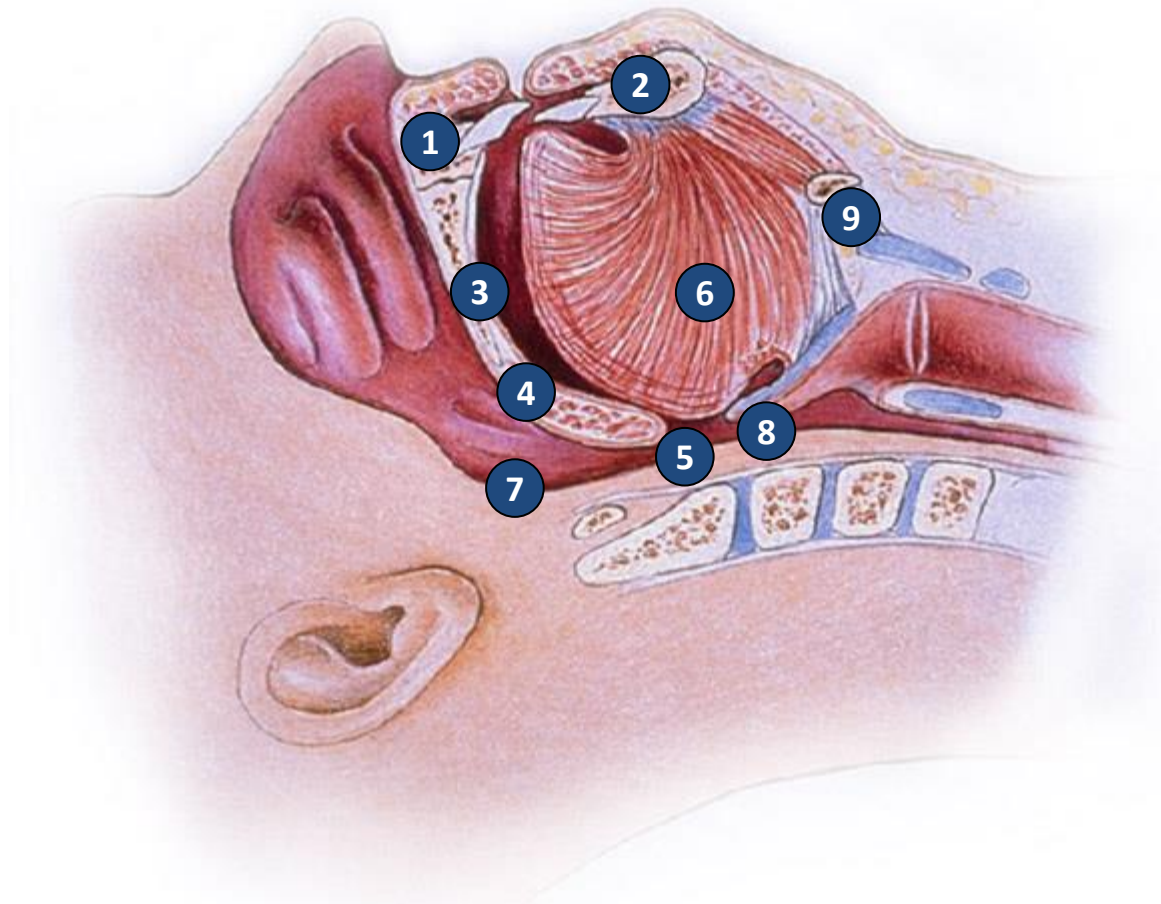
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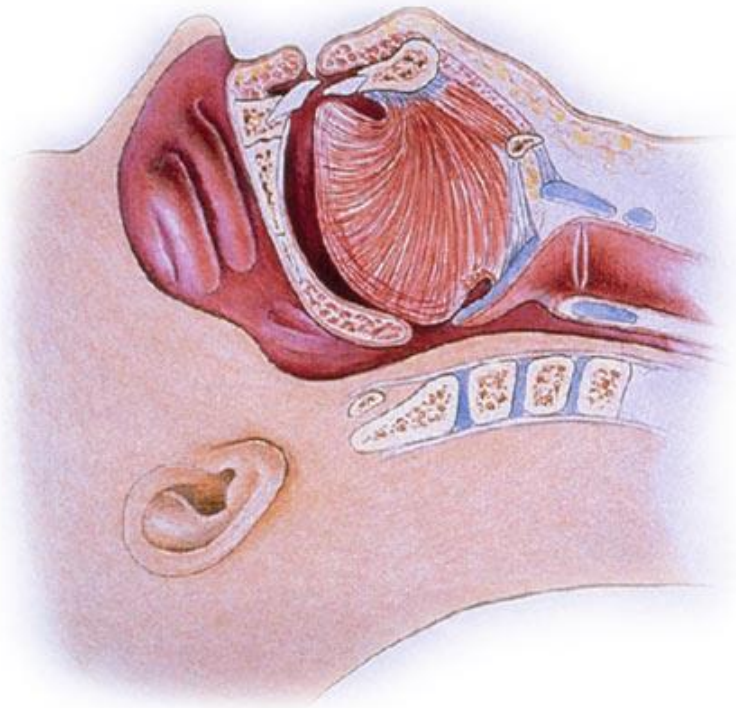
- Higher prevalence: male, advanced age and obesity
- Higher prevalence in subjects with CVD



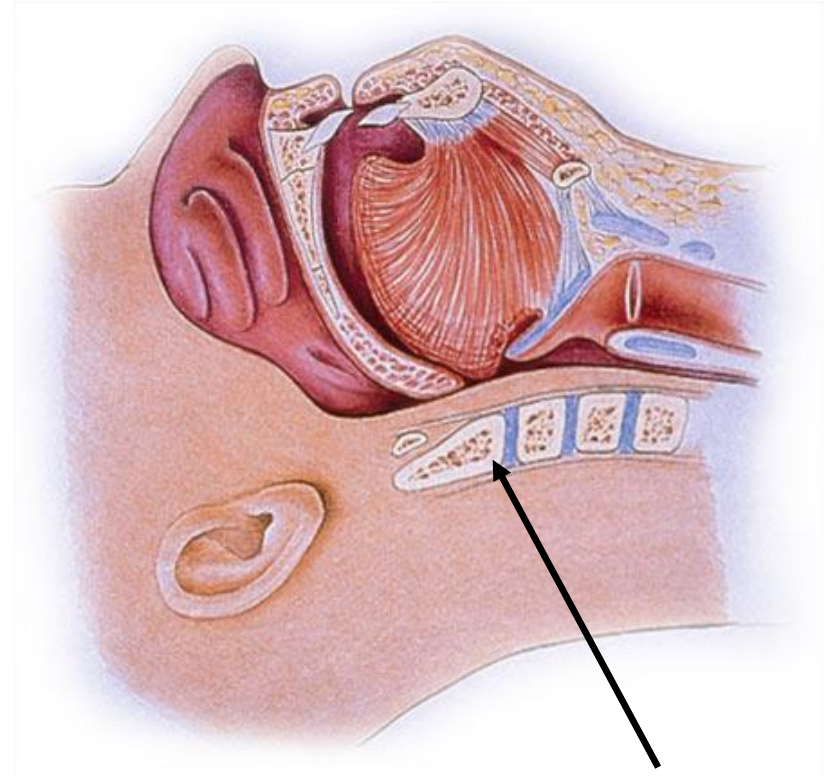
# The Upper Airway



# Pathophysiology of Apnea



Wakefulness



Sleep

# Where's the obstruction?



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- Three pharyngeal segments:
  - Retropalatal (RP) pharynx: Velo- or nasopharynx, (posterior to soft palate)
  - Retroglossal (RG) pharynx: Or pharynx, (posterior to tongue)
  - Retroepiglottic pharynx: Laryngo- or hypopharynx (posterior to epiglottis)

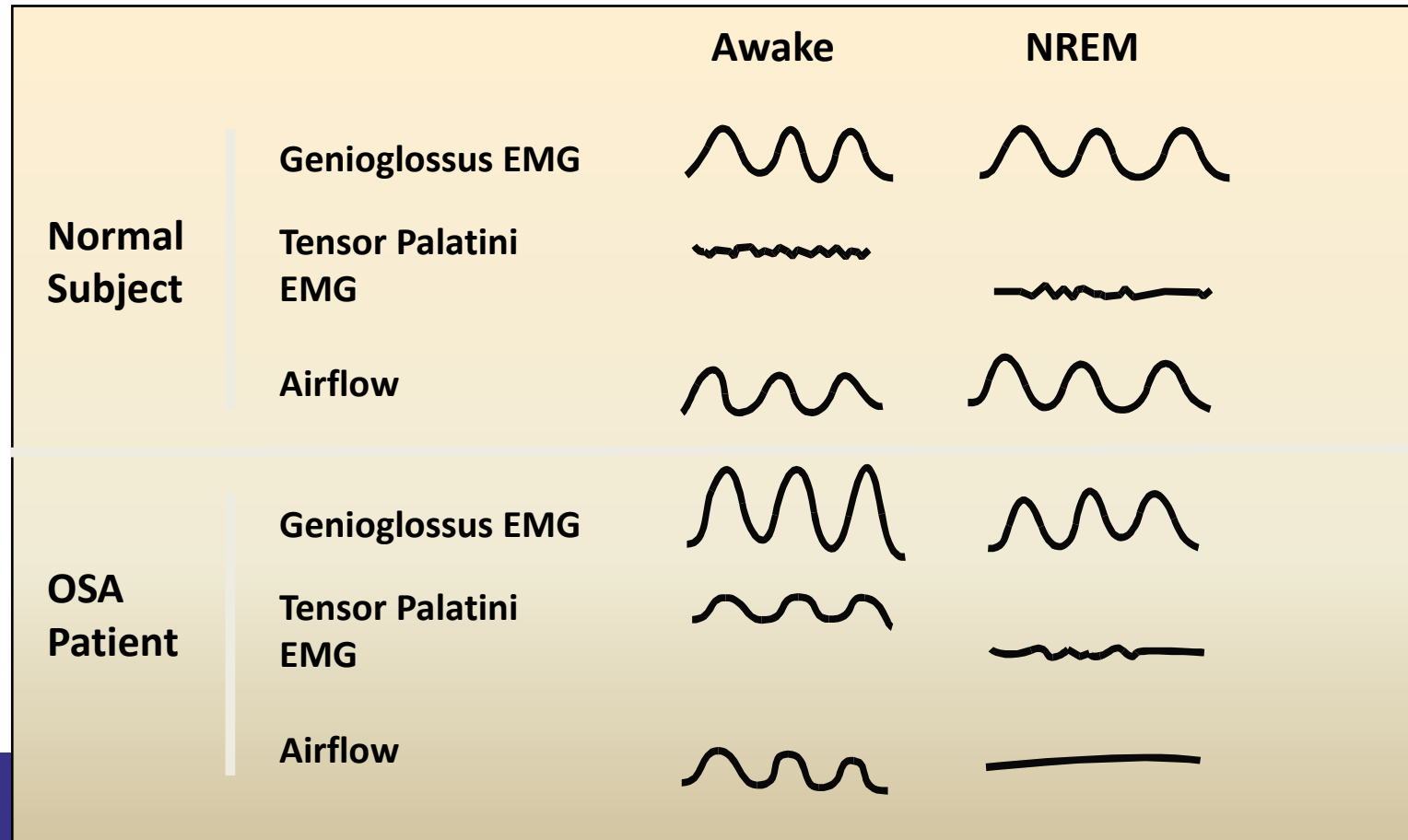
# Control of Dilator Muscles



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## Effects On Pharyngeal Muscle Activity



For each tracing, amplitude is shown on the Y axis and time on the X axis

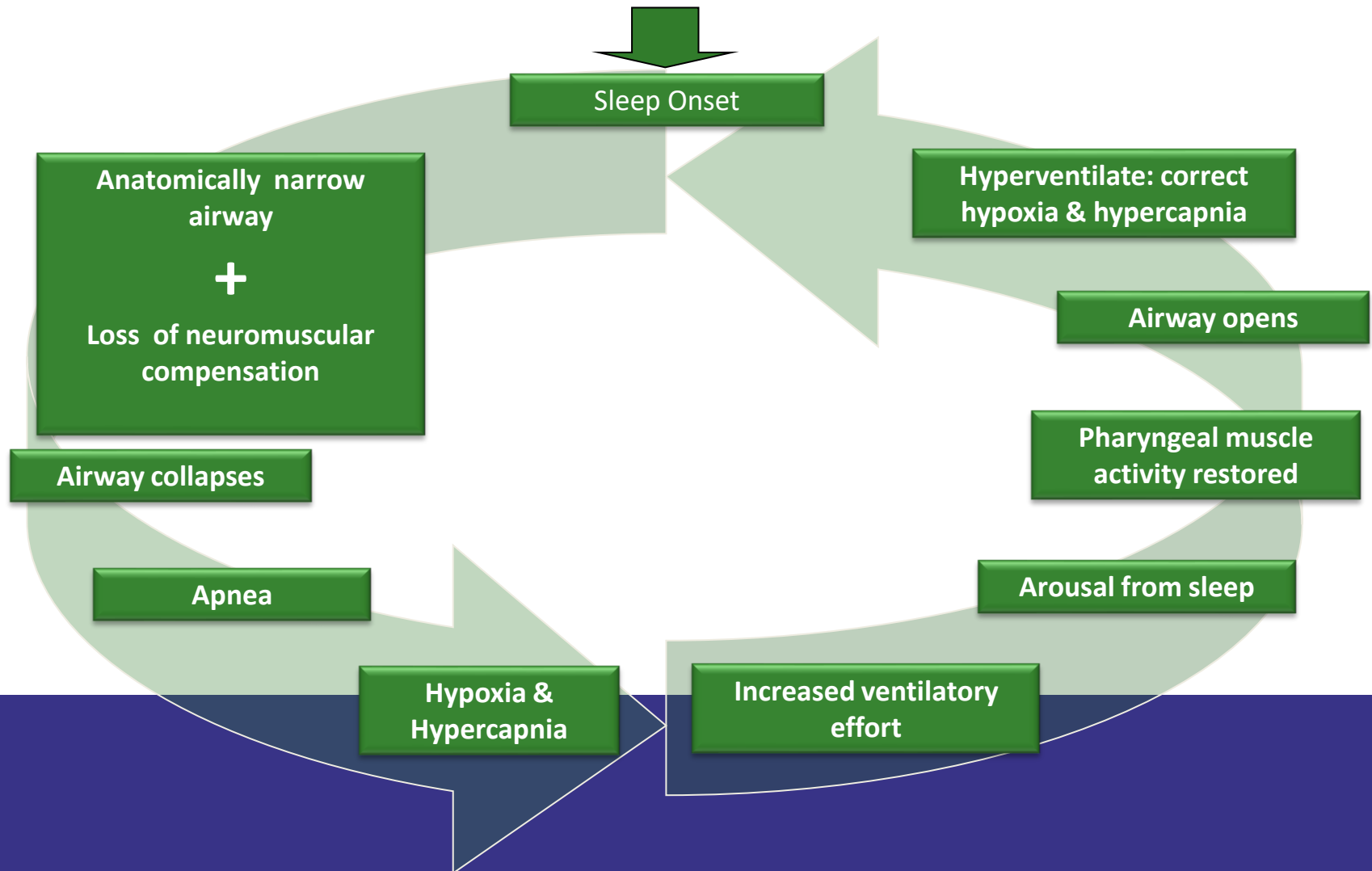
# Pathophysiology of Sleep Apnea



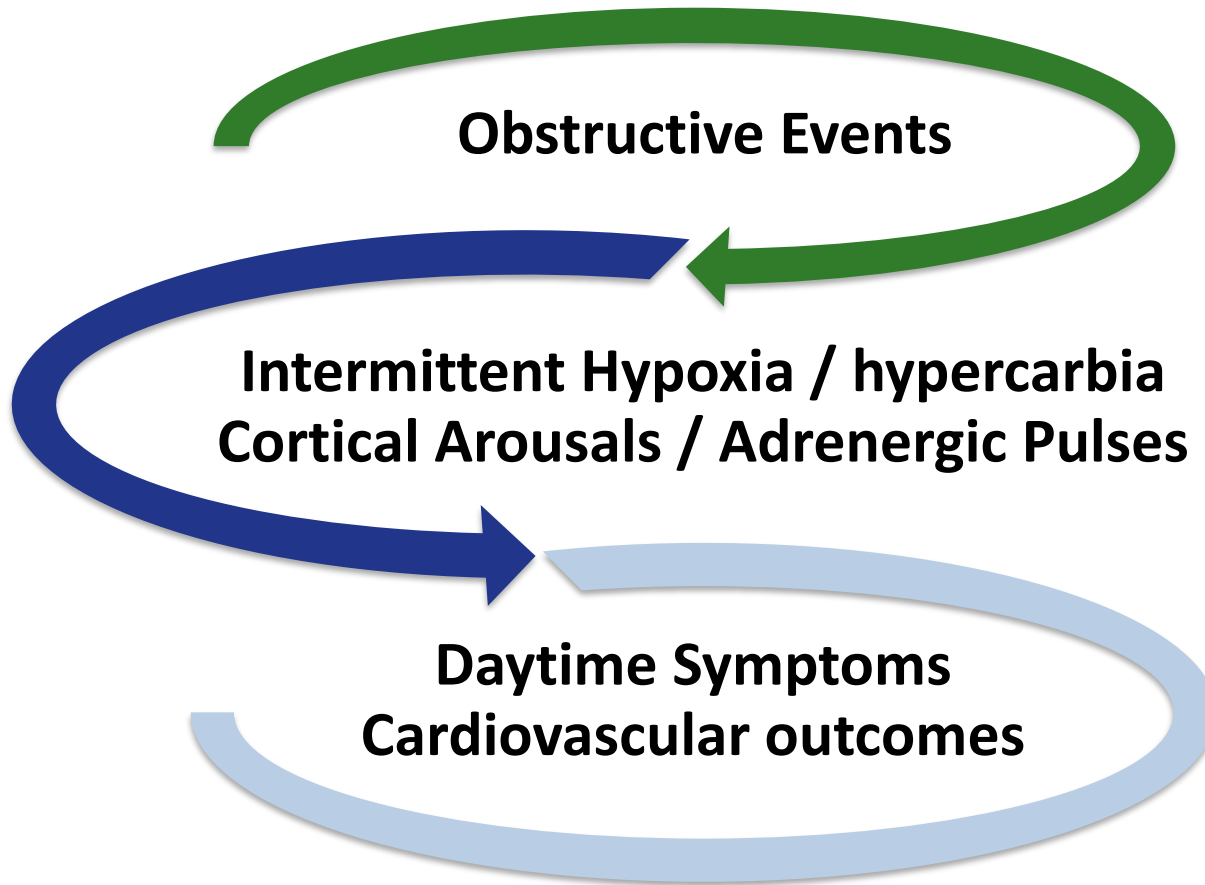
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**Awake: Small airway + neuromuscular compensation**

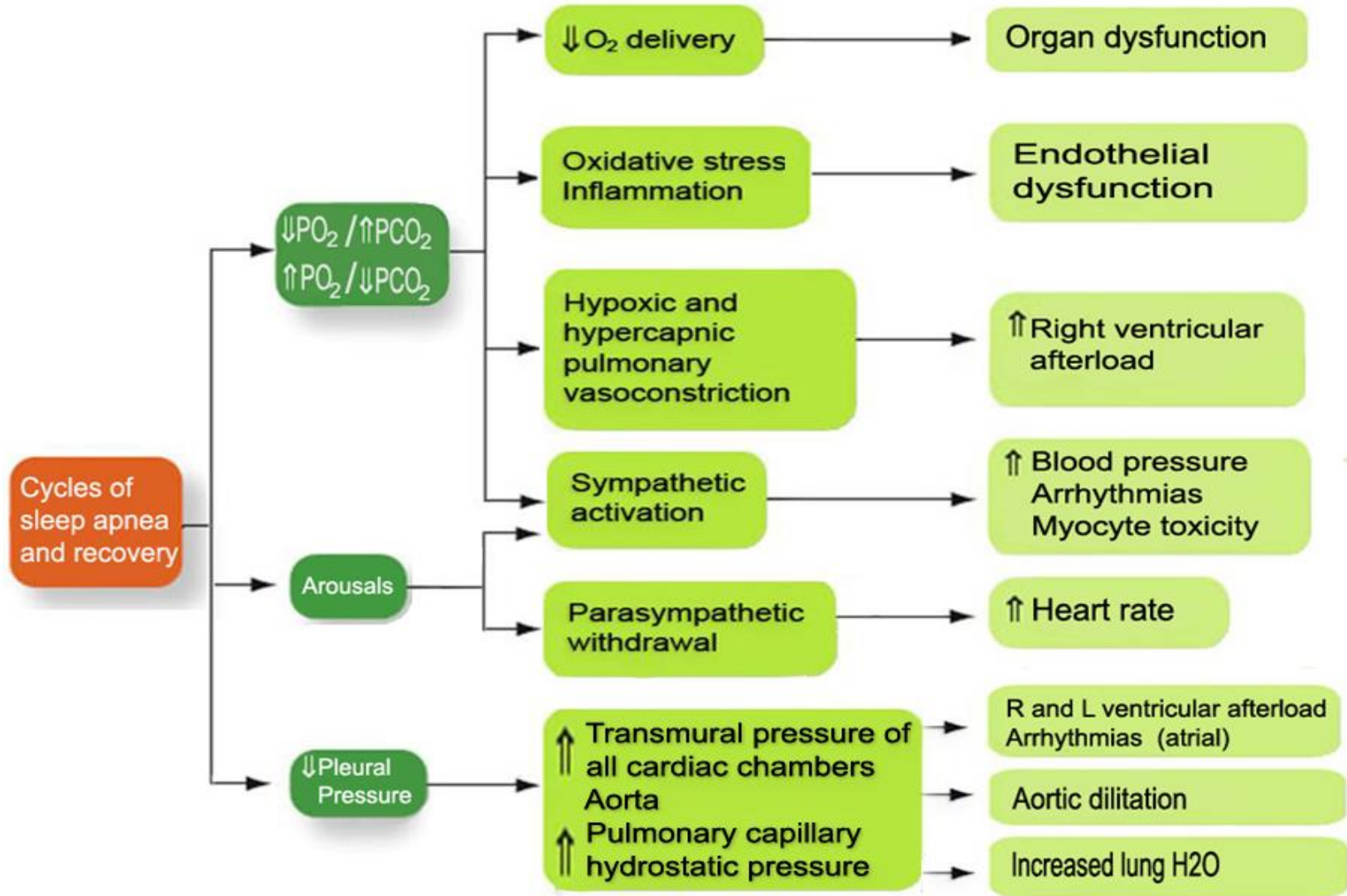


# Consequences of Sleep Apnea





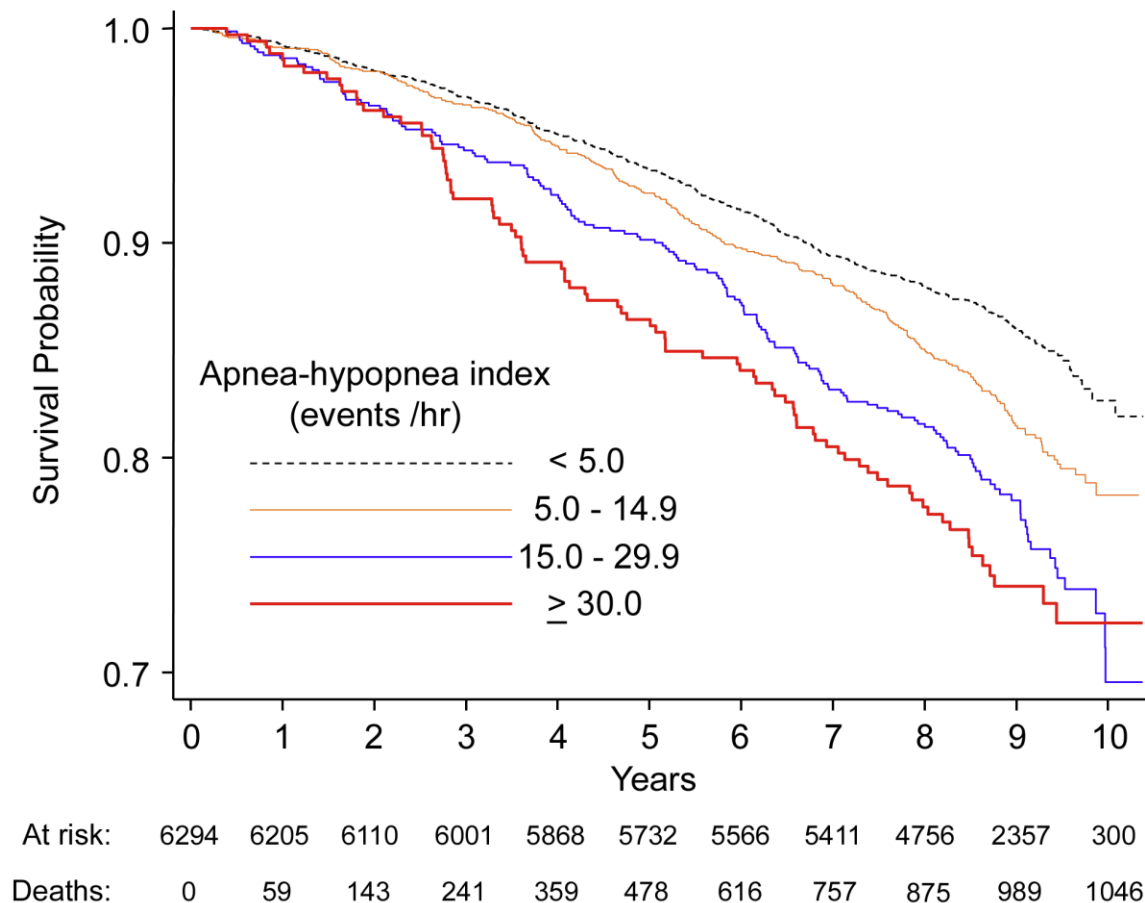
# Biological Pathways Mediating CV Complications of OSA



Pleural pressure (Ppl) is a surrogate of the pressure surrounding the heart and other vascular structures.



# OSA and Mortality

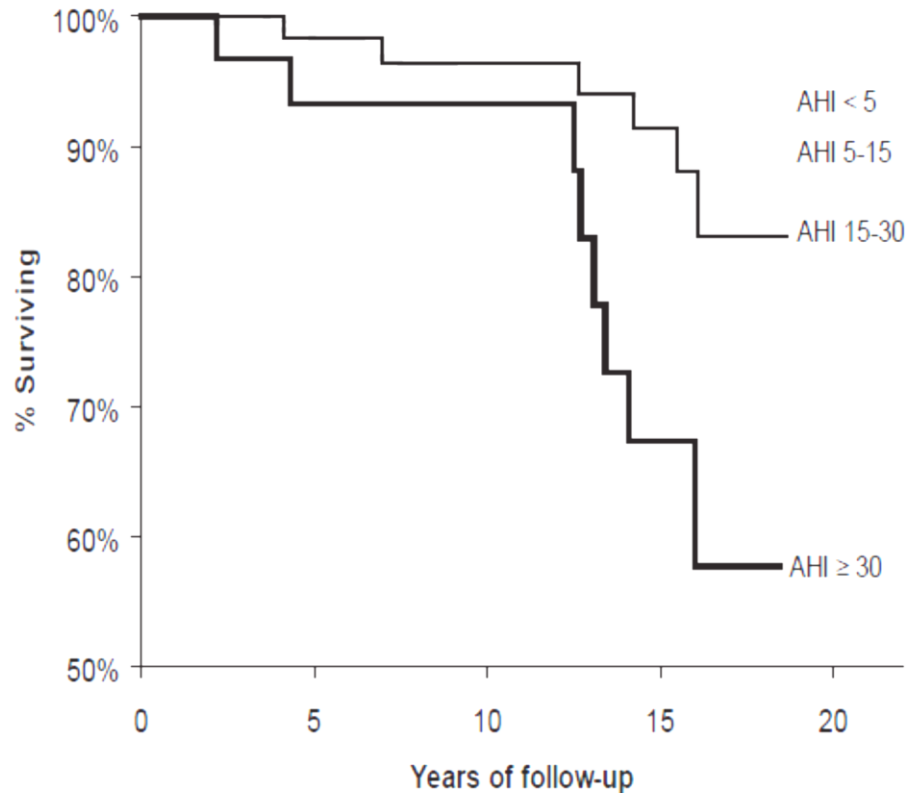


# Consequences: Mortality

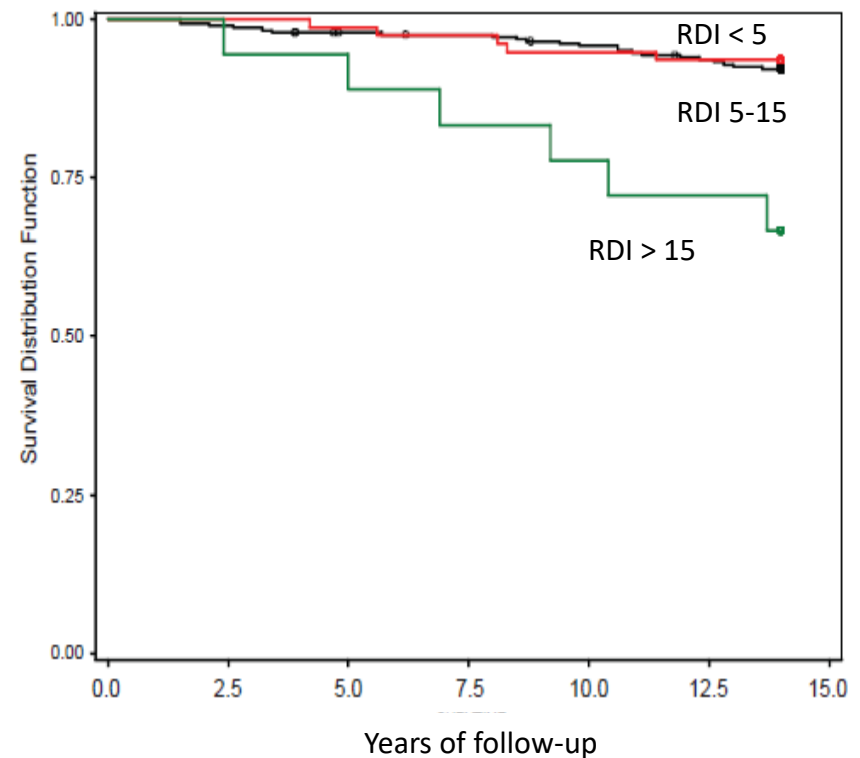


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**Wisconsin Cohort**



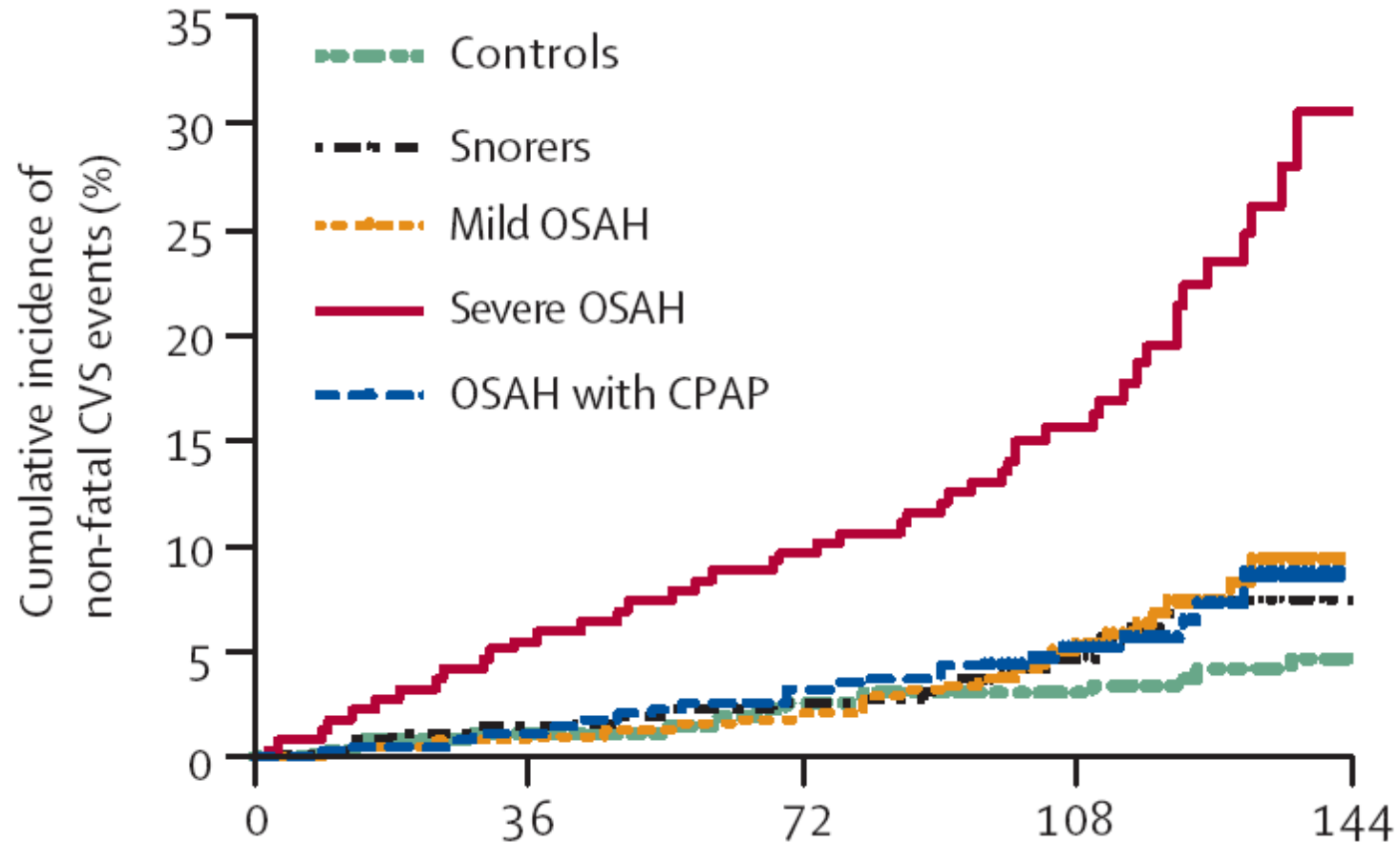
**Busselton, Australia**



*Young et al. Sleep 2008; 31:1071-1078*

*Marshall et al. Sleep 2008; 31:1079-1085*

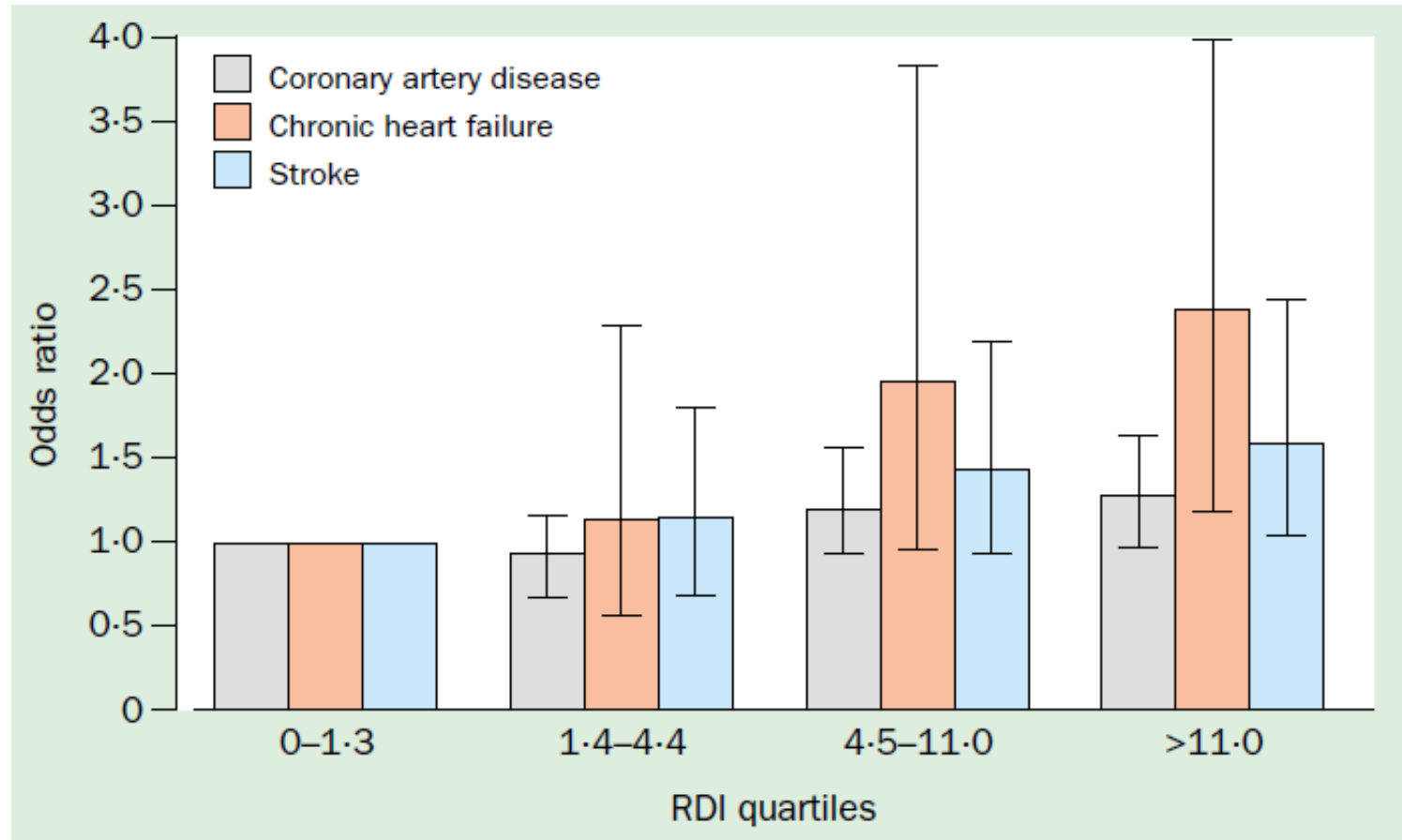
# Non-fatal Cardiovascular Events



# Sleep Heart Health Study: Cross-Sectional Analysis



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Adjusted Relative Odds of Prevalent Coronary Heart Disease, Heart Failure, or Stroke,  
by Quartile of SDB

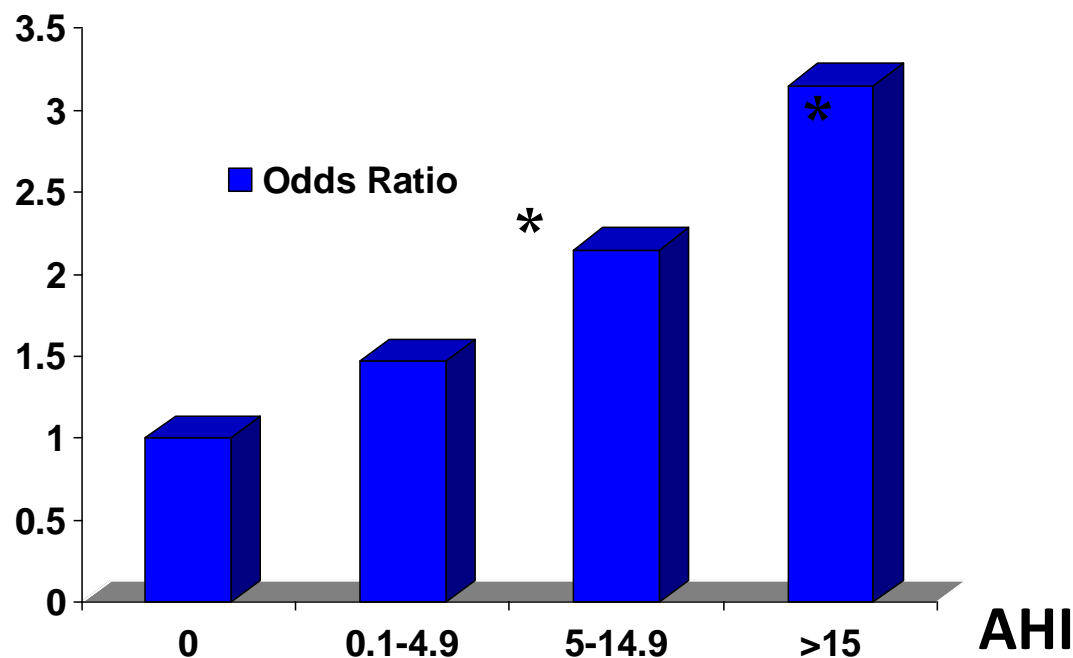
Shahar E et al. *Am J Respir Crit Care Med* 2001

# Hypertension and OSA by AHI



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\*OR adjusted for age, sex, ethnicity, BMI, neck & waist circumference, smoking and alcohol use

**Wisconsin Sleep Cohort Study: Adjusted Odds Ratios for Hypertension at 4-year Follow-up  
Participants who were Normotensive at Baseline**

## Prospective Study of Sleep-disordered Breathing and Hypertension

### The Sleep Heart Health Study

George T. O'Connor<sup>1</sup>, Brian Caffo<sup>2</sup>, Anne B. Newman<sup>3</sup>, Stuart F. Quan<sup>4,5</sup>, David M. Rapoport<sup>6</sup>, Susan Redline<sup>7</sup>, Helaine E. Resnick<sup>8</sup>, Jonathan Samet<sup>2</sup>, and Eyal Shahar<sup>9</sup>

*Am J Respir Crit Care Med.* 2009.

TABLE 2. ADJUSTED ODDS RATIOS\* OF INCIDENT HYPERTENSION AT FOLLOW-UP IN RELATION TO BASELINE APNEA-HYPOPNEA INDEX AMONG 2,470 SLEEP HEART HEALTH STUDY SUBJECTS WITHOUT HYPERTENSION AT BASELINE

| Baseline AHI | n     | Model 1 <sup>†</sup> | Model 2 <sup>‡</sup> | Model 3 <sup>§</sup> |
|--------------|-------|----------------------|----------------------|----------------------|
| 0–4.9        | 1,511 | —                    | —                    | —                    |
| 5–14.9       | 629   | 1.13 (0.90–1.43)     | 0.92 (0.72–1.17)     | 0.94 (0.73–1.22)     |
| 15–29.9      | 234   | 1.54 (1.12–2.11)     | 1.12 (0.80–1.56)     | 1.09 (0.77–1.54)     |
| ≥30          | 97    | 2.19 (1.39–3.44)     | 1.51 (0.93–2.47)     | 1.50 (0.91–2.46)     |

Definition of abbreviations: AHI = apnea-hypopnea index; BMI = body mass index.

\* Estimated by generalized estimating equation models with each subject contributing one or two follow-up intervals.

Values are odds ratio (95% confidence interval) or n.

<sup>†</sup> Adjusted for age, sex, race, and time since baseline.

<sup>‡</sup> Adjusted for factors in model 1 plus BMI.

<sup>§</sup> Adjusted for factors in model 2 plus waist/hip ratio and neck girth.

# Sleep Apnea and Stroke



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- Sleep apnea seen in 50%–80% of acute stroke and TIA patients.
  - OSA was the most common form
  - Central sleep apnea and Cheyne-stokes forms also reported
  - Sleep apnea improves in the subacute phase, primarily central and Cheyne-stokes pattern, not OSA

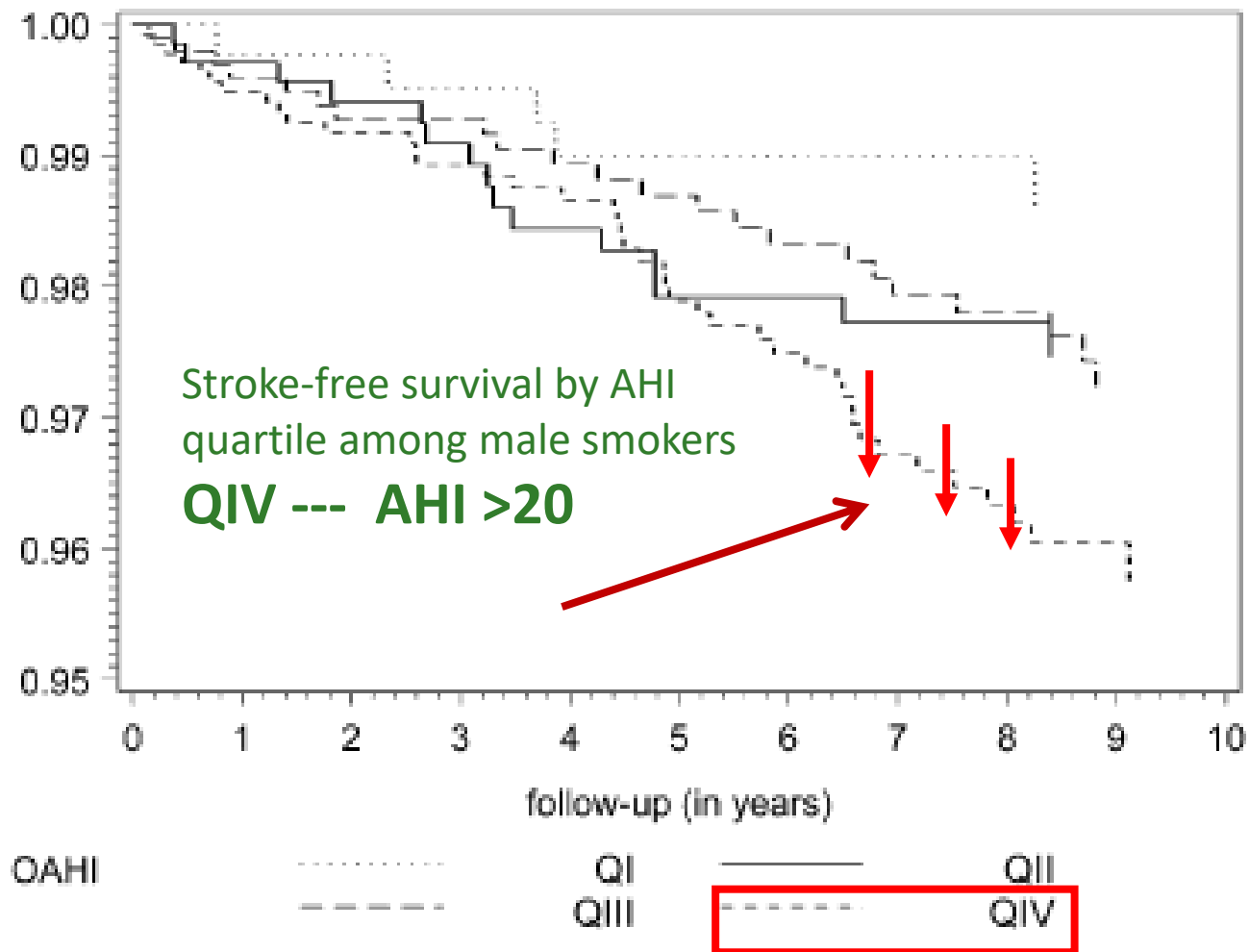


# OSA & Incident Stroke



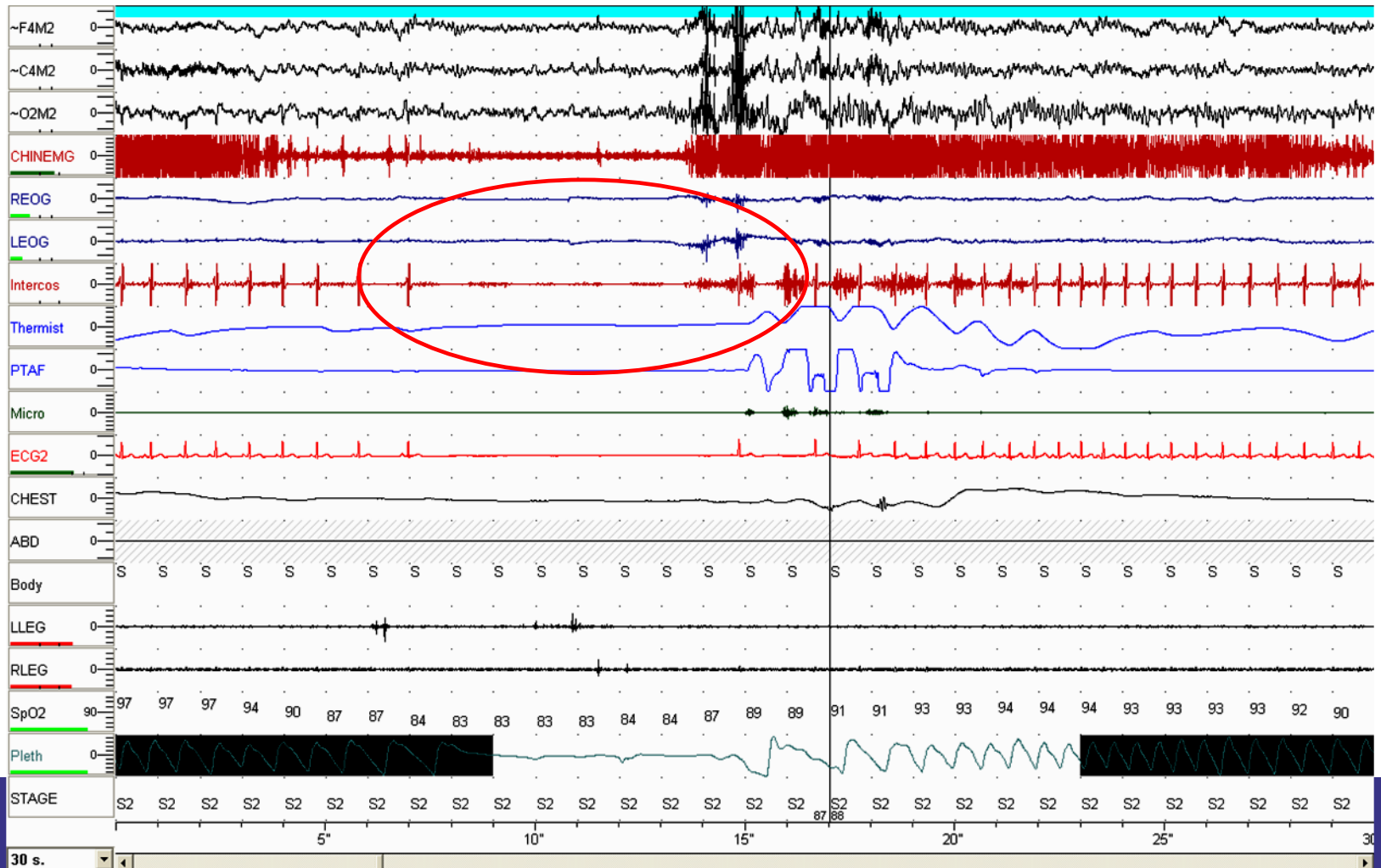
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# Consequences: Arrhythmias



# OSA and Atrial Fibrillation



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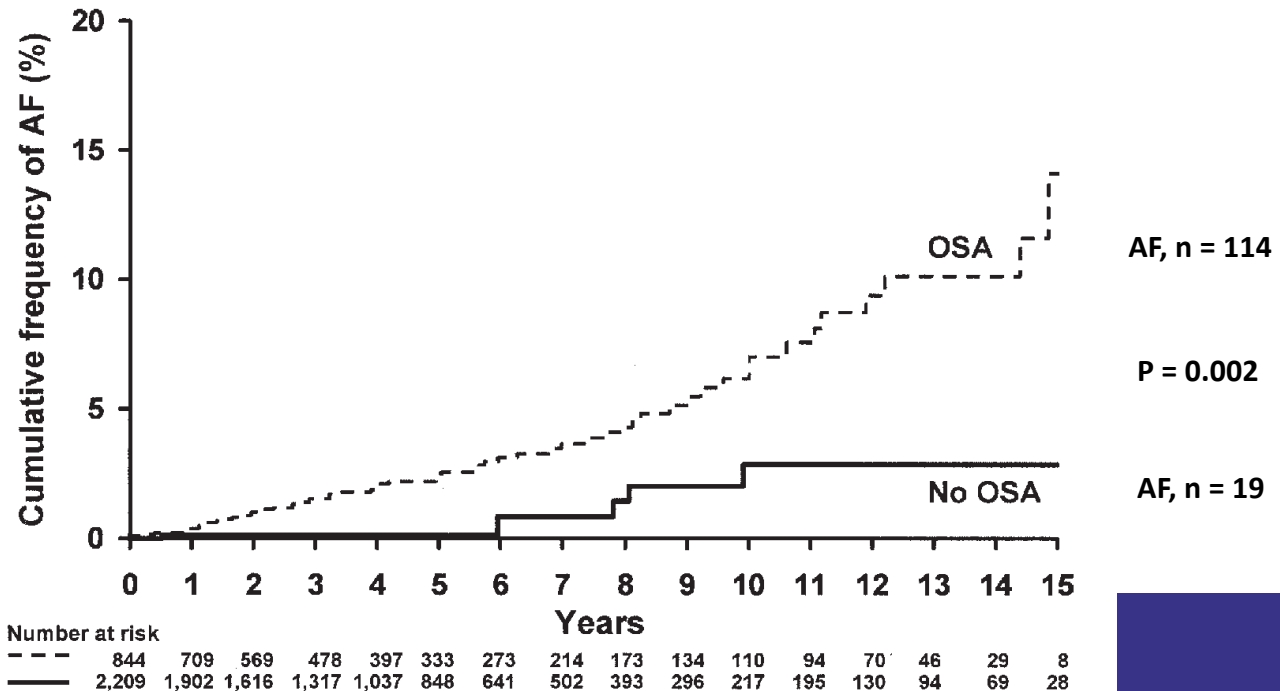
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## Obstructive Sleep Apnea, Obesity, and the Risk of Incident Atrial Fibrillation

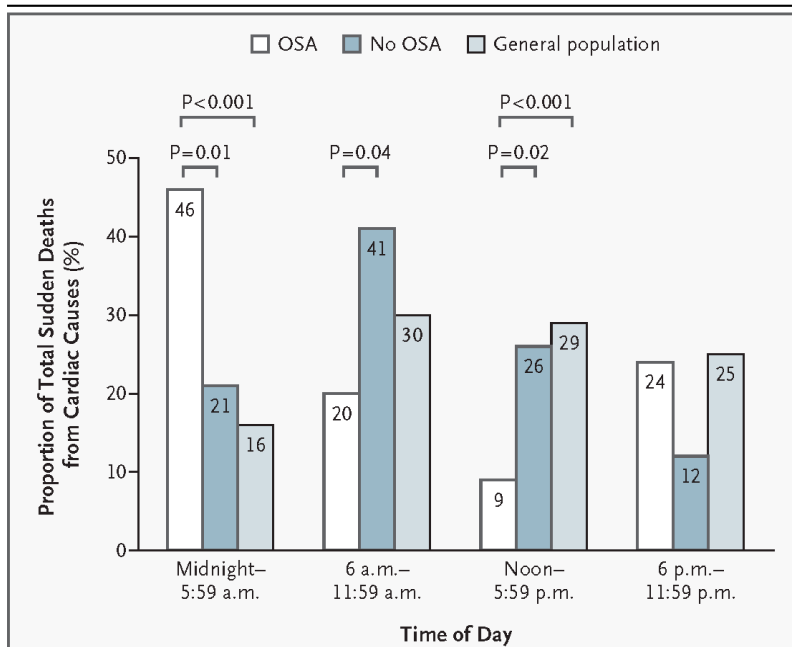
Apoor S. Gami, MD,\*† Dave O. Hodge, MS,‡ Regina M. Herges, BS,‡ Eric J. Olson, MD,†§  
Jiri Nykodym, BS,\*† Tomas Kara, MD,\*† Virend K. Somers, MD, PhD, FACC\*†||

Rochester, Minnesota

*J Am Coll Cardiol.* 2007.

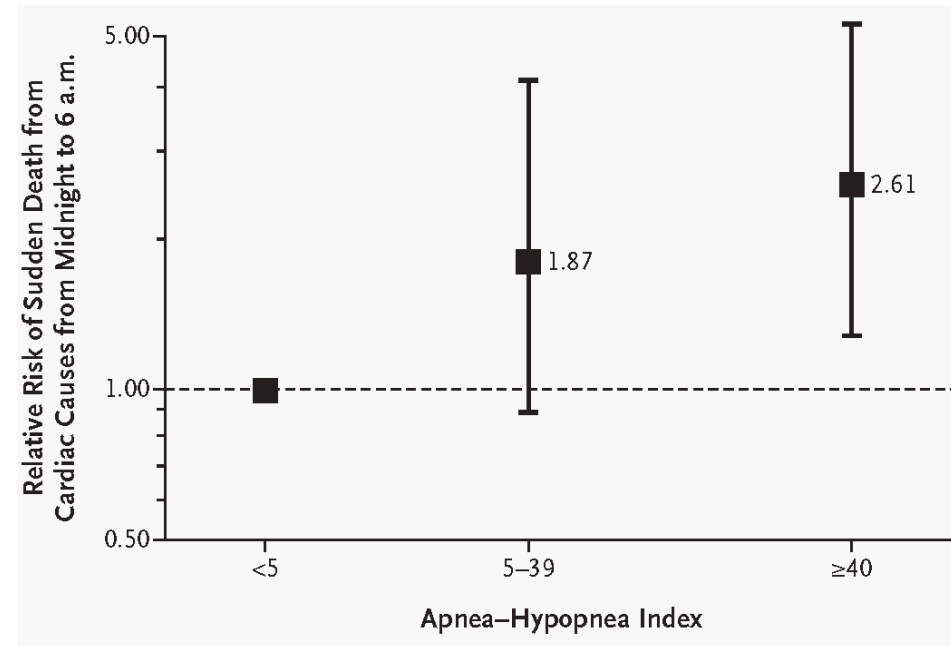


# OSA and Sudden Cardiac Death



**Figure 1.** Day–Night Pattern of Sudden Death from Cardiac Causes in 78 Persons with and 34 Persons without Obstructive Sleep Apnea (OSA) and in the General Population.

Data for the general population were derived from Cohen et al.<sup>1</sup>



**Sudden death during night more likely in those with OSA**

# Consequences: Diabetes



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- Severe OSA patients with sleepiness are at increased risk for diabetes (up to 83% of patients with type 2 diabetes have unrecognized OSA)\*
- Insulin sensitivity improves after CPAP therapy
- The greatest CPAP response on glucose metabolism is in the diabetic patient with a lower BMI

# Consequences: GERD



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- 54-76% of OSA patients have Gastroesophageal Reflux Disease (GERD)
  - more frequent and prolonged in OSA patients versus BMI matched controls
- Common risk factors: obesity, male sex, and alcohol use
- OSA may trigger GERD due to decreased intrathoracic pressure

# Consequences: Excessive Daytime Sleepiness



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- Reduced alertness & vigilance
- Increased motor vehicle crashes
- Increased work-related accidents
- Poor job/school performance
- Difficulty concentrating & reduced productivity
- Falling asleep in inappropriate social circumstances

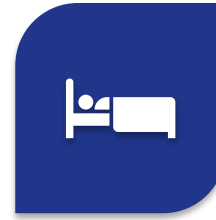
# Daytime Symptoms



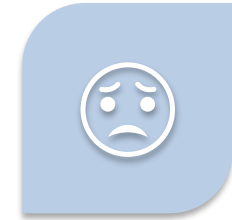
LOWER QUALITY  
OF LIFE



DEPRESSION



FATIGUE/MALAISE



WORSE  
PERCEIVED PAIN



IRRITABILITY



MORNING  
HEADACHES



DECREASED  
LIBIDO



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# Diagnosing Sleep Apnea



# Patient Symptoms



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## Nocturnal symptoms:

Snoring

Witnessed Apneas, gasping or choking

Insomnia, frequent awakenings

Nocturia



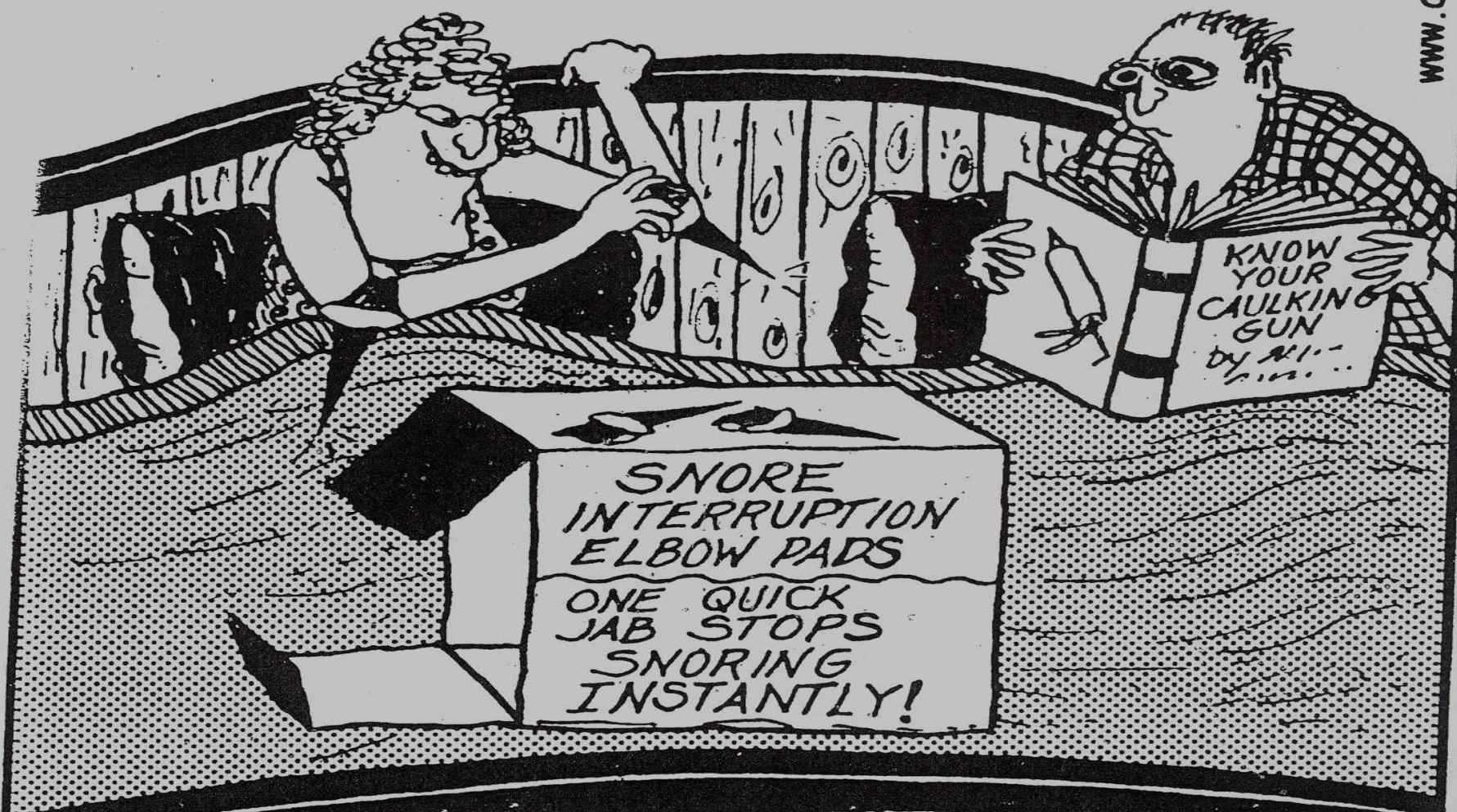
## Daytime symptoms:

Somnolence, fatigue

Poor concentration







# Physical Exam Findings



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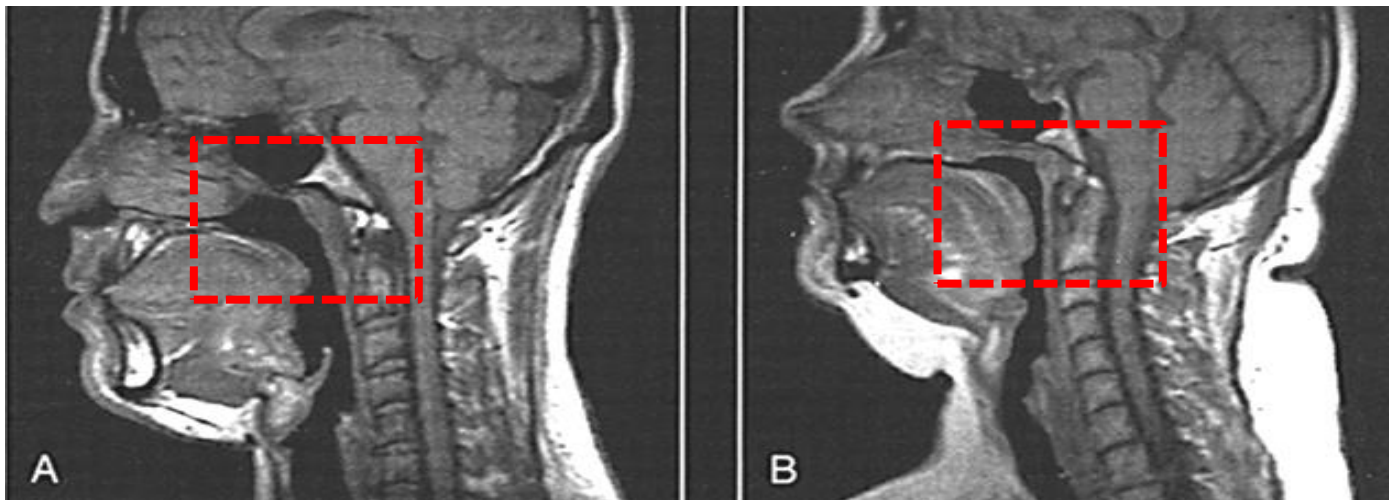
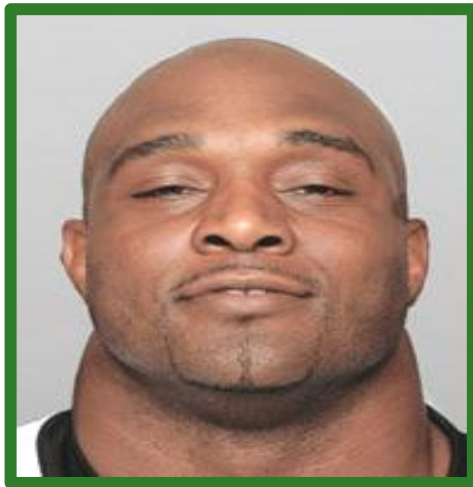
**Vitals:** Blood Pressure, O2 saturation, weight, BMI

**Neck circumference**

- >17" men, >16" women associated with higher risk

**Upper airway crowding**

- Lateral wall narrowing
- High arched palate
- Large tongue, high based
- Large uvula and tonsils
- High Modified Mallampati score

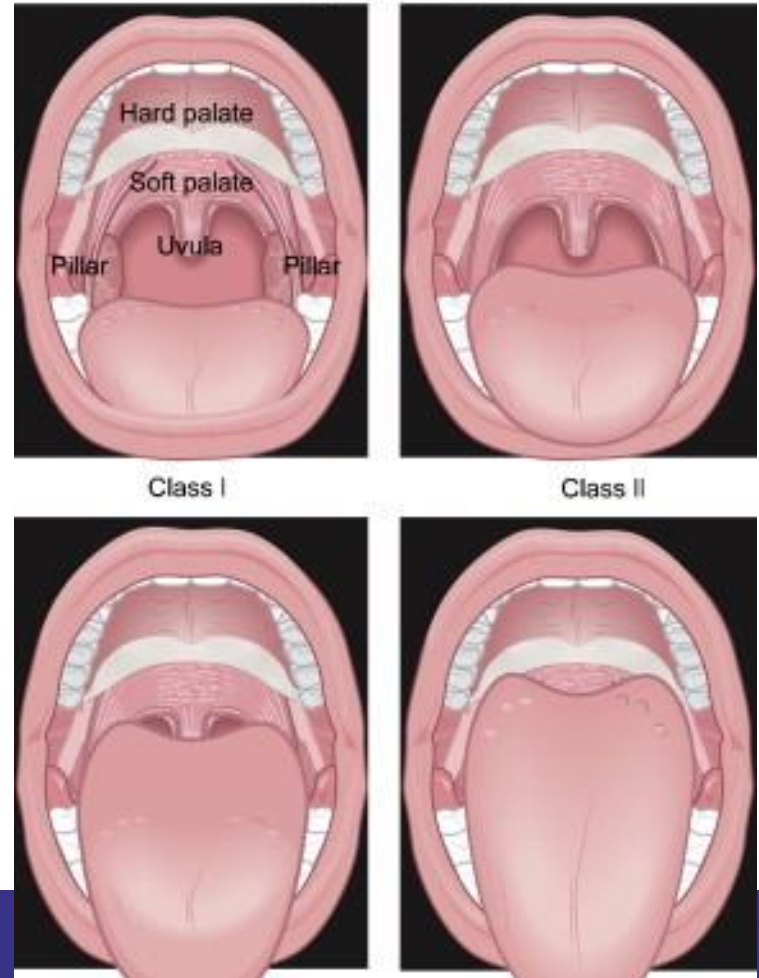




# Modified Mallampati Class

- Tongue not protruded in relaxed position
- Correlates with OSA and severity

Mallampati Classification



# STOP-BANG

- Validated screening tool for obstructive sleep apnea
- Score of  $\geq 3$  has >90% sensitivity to detect moderate to severe OSA
- High positive predictive value (85%)



# STOP-BANG

*(Give 1 point for each “Yes”)*

| STOP-BANG Sleep Apnea Screening Tool          |  |
|---|--|
| <b>S</b> noring                               | louder than talking, heard through doors |
| <b>T</b> ired                                 | tired, fatigued, sleepy during the day   |
| <b>O</b> bserved                              | stop breathing, choking, gasping         |
| <b>P</b> ressure                              | hypertension                             |
| <b>B</b> MI                                   | > 35                                     |
| <b>A</b> ge                                   | > 50                                     |
| <b>N</b> eck                                  | > 17 in (male) or >16 in (female)        |
| <b>G</b> ender                                | male                                     |
| ≥ 3 points indicates significant risk for OSA |  |

**\*There are multiple versions and scoring systems for STOP-BANG**



# Diagnostic Approach



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- **Clinical suspicion and evaluation**
- **Sleep testing**
  - Home sleep apnea test
  - Polysomnography



JCSM  
Journal of Clinical  
Sleep Medicine

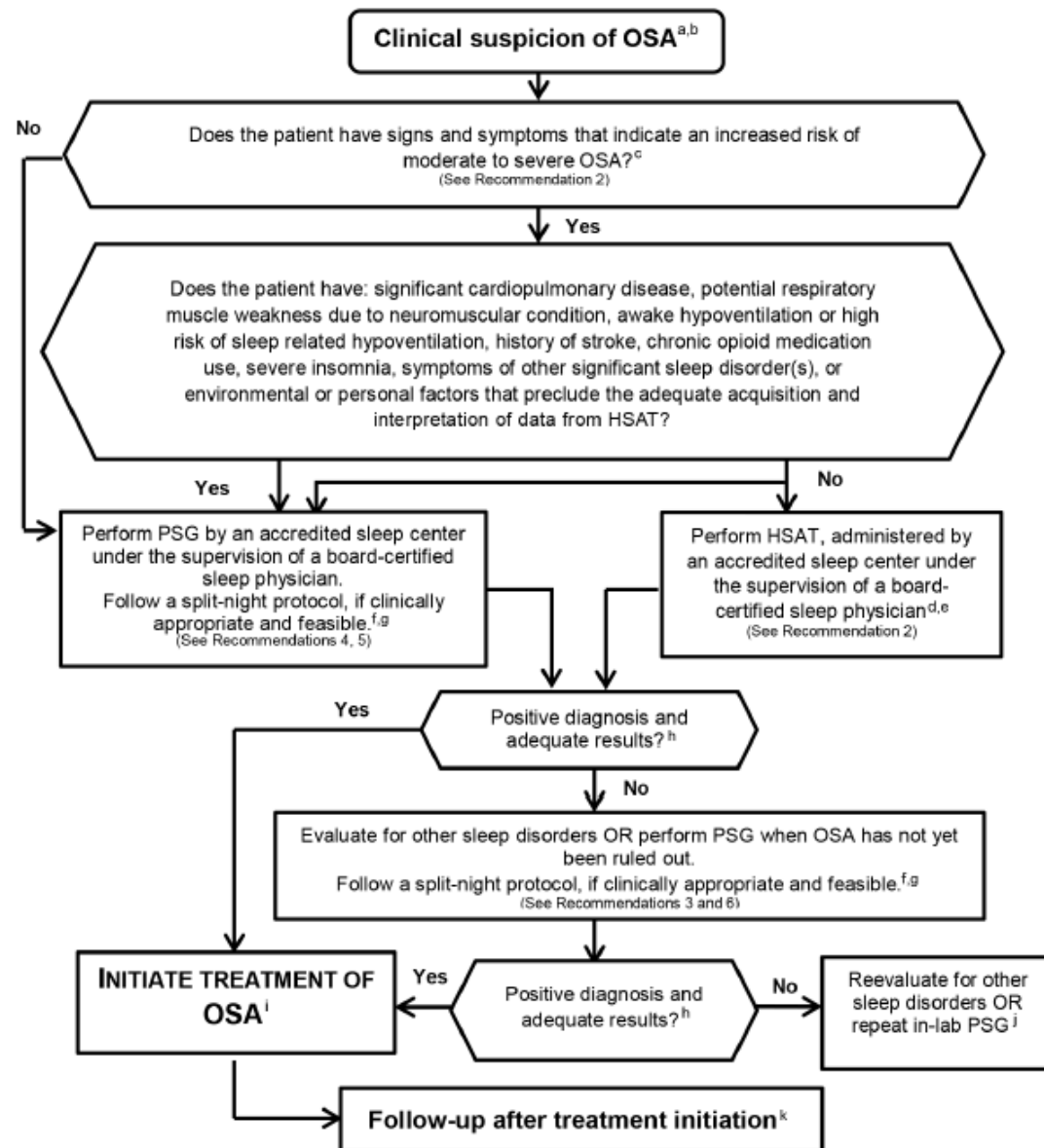
SPECIAL ARTICLES

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## Clinical Practice Guideline for Diagnostic Testing for Adult Obstructive Sleep Apnea: An American Academy of Sleep Medicine Clinical Practice Guideline

Vishesh K. Kapur, MD, MPH<sup>1</sup>; Dennis H. Auckley, MD<sup>2</sup>; Susmita Chowdhuri, MD<sup>3</sup>; David C. Kuhlmann, MD<sup>4</sup>; Reena Mehra, MD, MS<sup>5</sup>;  
Kannan Ramar, MBBS, MD<sup>6</sup>; Christopher G. Harrod, MS<sup>7</sup>

**Figure 2**—Clinical algorithm for implementation of clinical practice guidelines.



**Table 5**—Summary of recommendations.

| Recommendation Statement   | Strength of Recommendation | Evidence Quality | Benefits versus Harms                       | Patient Values and Preferences   |
|--|----------------------------|------------------|---|--|
| 1. We recommend that clinical tools, questionnaires or prediction algorithms not be used to diagnose OSA in adults, in the absence of PSG or HSAT.   | Strong                     | Moderate         | High certainty that harms outweigh benefits | Vast majority of well-informed patients would most likely not choose clinical tools, questionnaires or prediction algorithms for diagnosis   |
| 2. We recommend that PSG, or HSAT with a technically adequate device, be used for the diagnosis of OSA in uncomplicated adult patients presenting with signs and symptoms that indicate an increased risk of moderate to severe OSA.   | Strong                     | Moderate         | High certainty that benefits outweigh harms | Vast majority of well-informed patients would want PSG or HSAT   |
| 3. We recommend that if a single HSAT is negative, inconclusive or technically inadequate, PSG be performed for the diagnosis of OSA.  | Strong                     | Low              | High certainty that benefits outweigh harms | Vast majority of well-informed patients would want PSG performed if the initial HSAT is negative, inconclusive, or technically inadequate  |
| 4. We recommend that PSG, rather than HSAT, be used for the diagnosis of OSA in patients with significant cardiorespiratory disease, potential respiratory muscle weakness due to neuromuscular condition, awake hypoventilation or suspicion of sleep related hypoventilation, chronic opioid medication use, history of stroke or severe insomnia. | Strong                     | Very Low         | High certainty that benefits outweigh harms | Vast majority of well-informed patients would most likely choose PSG to diagnose suspected OSA   |
| 5. We suggest that, if clinically appropriate, a split-night diagnostic protocol, rather than a full-night diagnostic protocol for PSG be used for the diagnosis of OSA.   | Weak                       | Low              | Low certainty that benefits outweigh harms  | Majority of well-informed patients would most likely choose a split-night diagnostic protocol to diagnose suspected OSA  |
| 6. We suggest that when the initial PSG is negative, and there is still clinical suspicion for OSA, a second PSG be considered for the diagnosis of OSA.   | Weak                       | Very low         | Low certainty that benefits outweigh harms  | Majority of well-informed patients would most likely choose a second PSG to diagnose suspected OSA when the initial PSG is negative and there is still a suspicion that OSA is present |

# Polysomnography



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- Gold standard for obtained data
- Allows opportunity for manual PAP titration
- Multi-channel monitoring of physiological parameters during sleep
  - EEG (allows direct measurement of sleep!)
  - EOG (ocular movement)
  - EMG (muscle tone including limb movements)
  - ECG
  - SpO2
  - Video & audio monitoring (parasomnia activity)
  - Additional monitoring may include parameters such as CO2



"First we're going to run some tests to see how your insurance reacts."

# Polysomnography



# Home Sleep Apnea Testing



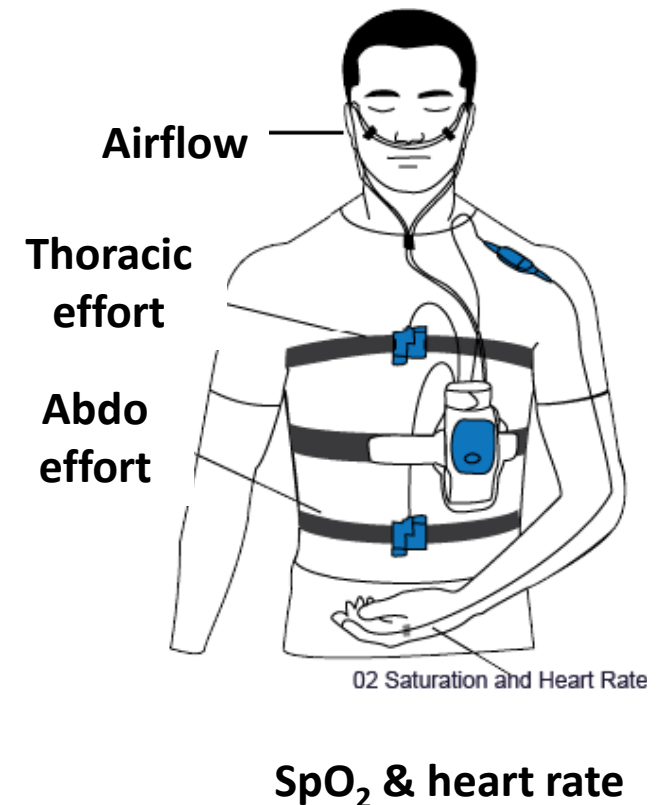
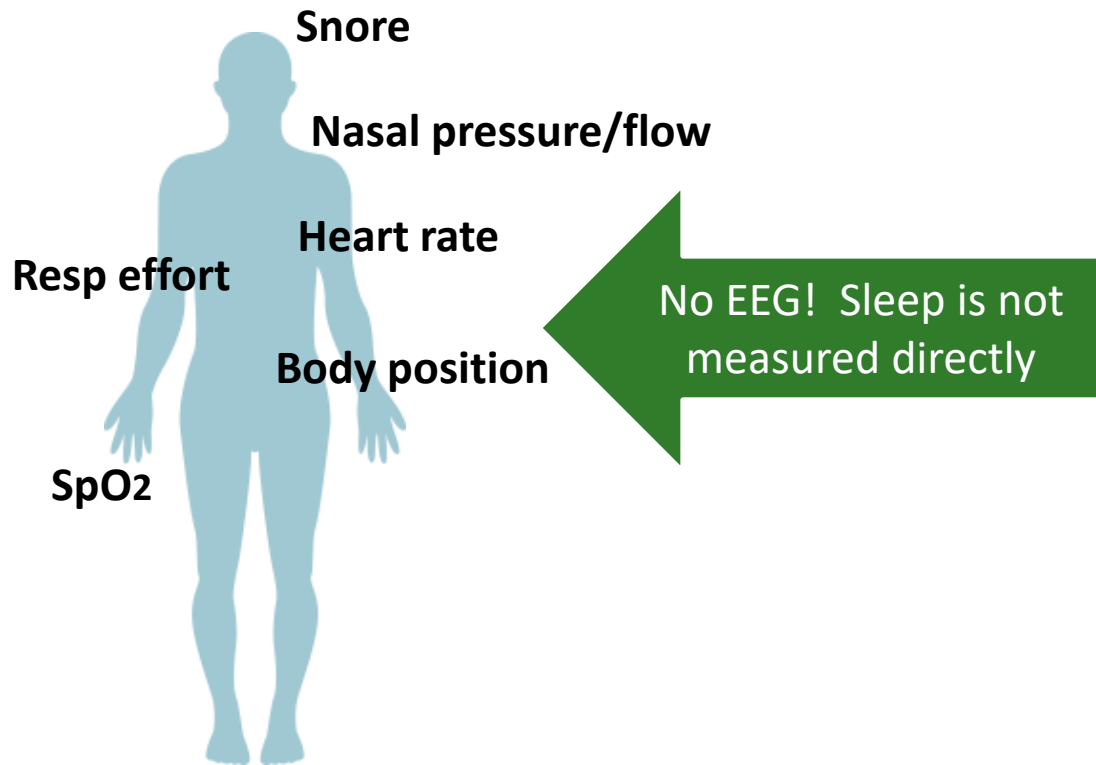
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- Indicated to test for OSA in:
  - Uncomplicated patients with high pre-test probability
- Not Recommended for:
  - Significant cardiorespiratory disease
    - COPD, CHF, CVA
  - Risk for hypoventilation
    - neuromuscular, morbid obesity
  - Chronic opioid use
  - Severe insomnia



# Most home testing looks like this







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# Treatment of Obstructive Sleep Apnea

# Treatment Objectives



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- Reduce morbidity and mortality
  - Improve daytime somnolence and quality of life
  - Decrease cardiovascular, metabolic and other systemic consequences

# Therapeutic Approach



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- Risk counseling for:
  - Motor vehicle crashes
  - Job-related hazards
  - Judgment impairment
- Apnea and co-morbidity treatment
  - Behavioral
  - Medical
  - Surgical

# Behavioral Interventions



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- Encourage patients to:
  - Lose weight (if overweight)
  - Exercise
  - Avoid alcohol and sedative-hypnotics
  - Avoid sleep deprivation
  - Avoid supine sleep position in susceptible patients
  - Stop smoking
  - Maintain clear nasal passages

# Weight Loss and Exercise



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WT loss Should be prescribed for all obese patients



Can be curative but has low success rate



Other treatment is required

Until optimal weight loss is achieved  
And sleep apnea is proven to be resolved



**Aerobic exercise attenuates OSA**

# THE NEW FOOD PYRAMID

REMEMBER HONEY, IF  
THERE'S AN ELEVATOR  
SEND IT DOWN!



BILL  
MURRAY





**"The handle on your recliner does not qualify as an exercise machine."**

# Weight Loss and OSA



# Medical Interventions



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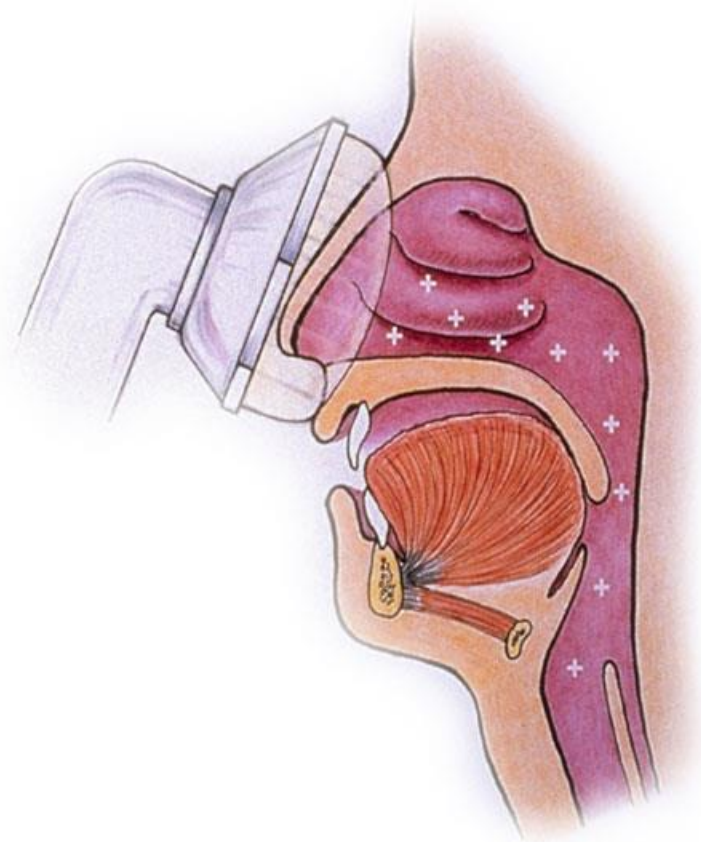
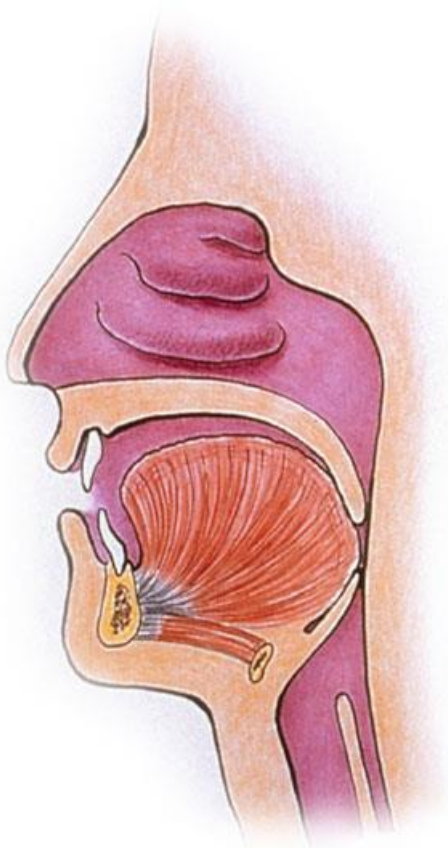
- Positive Airway Pressure (PAP)
  - Continuous Positive Airway Pressure (CPAP)
    - Fixed or auto-titrating
  - Bi-level Positive Airway Pressure
    - Multiple bi-level modalities exist. Common reasons for use in OSA include patient preference, aerophagia, pressure intolerance.
- Mandibular Repositioning Devices

# Positive Airway Pressure



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# Overall Approach to SDB



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**OSA**

CPAP

---

BiPAP

---

---

**Central**

Noninvasive Ventilation

---

BiPAP

---

ASV

---

---

**Hypoventilation**

BiPAP

---

AVAPS

---

# Positive Airway Pressure



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# PAP Machine Basics





# PAP Interfaces



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# Data Download Information



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## Adherence

- # of days used (up to 365 nights)
  - Average and specific nights used/not used
- # of hours used
  - Average and for specific nights

## Settings

- Current pressure settings
- Pressures actually delivered
  - Median / maximum values
- Current comfort settings

## Efficacy

- Calculated AHI (apnea hypopnea index)
  - Overall and for specific days
- Amount of mask leak

## Compliance Report

### 30 day compliance

|                       |        |
|-----------------------|--------|
| Compliance week       | 7 days |
| Compliance percentage | 97%    |

### Usage

|              |               |
|--------------|---------------|
| Usage days   | 30 days (97%) |
| on 4 hours   | 30 days (97%) |
| on 8 hours   | 30 days (97%) |
| Usage Report | 30 days (97%) |
| Usage Report | 30 days (97%) |
| Usage Report | 30 days (97%) |
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| Usage Report | 30 days (97%) |
| Usage Report | 30 days (97%) |

### 30 Autobot

|              |               |
|--------------|---------------|
| Usage Report | 30 days (97%) |
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| Usage Report | 30 days (97%) |

### Therapy

|                |               |
|----------------|---------------|
| Therapy Report | 30 days (97%) |
| Therapy Report | 30 days (97%) |
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| Therapy Report | 30 days (97%) |
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| Therapy Report | 30 days (97%) |
| Therapy Report | 30 days (97%) |

### Usage - hours



## Therapy Report

### 30 day compliance

|                       |        |
|-----------------------|--------|
| Compliance week       | 7 days |
| Compliance percentage | 97%    |

### Usage

|              |               |
|--------------|---------------|
| Usage days   | 30 days (97%) |
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### 30 Autobot

|              |               |
|--------------|---------------|
| Usage Report | 30 days (97%) |
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### Therapy

|                |               |
|----------------|---------------|
| Therapy Report | 30 days (97%) |
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| Therapy Report | 30 days (97%) |
| Therapy Report | 30 days (97%) |

### Usage - hours



# Adherence to PAP Therapy



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- Adherence to therapy in 1st several weeks is one of the greatest predictors of long-term adherence.
- Patients subjectively report: 75% use
- Objectively measured use  $\geq 4$  hrs: 17-71%
  - For comparison, compliance with asthma medications : 30%

# Predictors of Poor PAP Adherence



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- Poor understanding of importance of therapy
- Concomitant use of medications or alcohol
- Lack of excessive daytime sleepiness
- Lack of perceived benefit
- Nasal obstruction
- Side effects
- Claustrophobia
- PTSD





# Strategies to Improve Adherence



- Patient Education
- Frequent and early follow-up
- Desensitization Therapy
- Equipment Optimization
  - Ensuring optimal interface, fit, absence of leak
  - Comfort settings including humidification, ramp, expiratory pressure relief

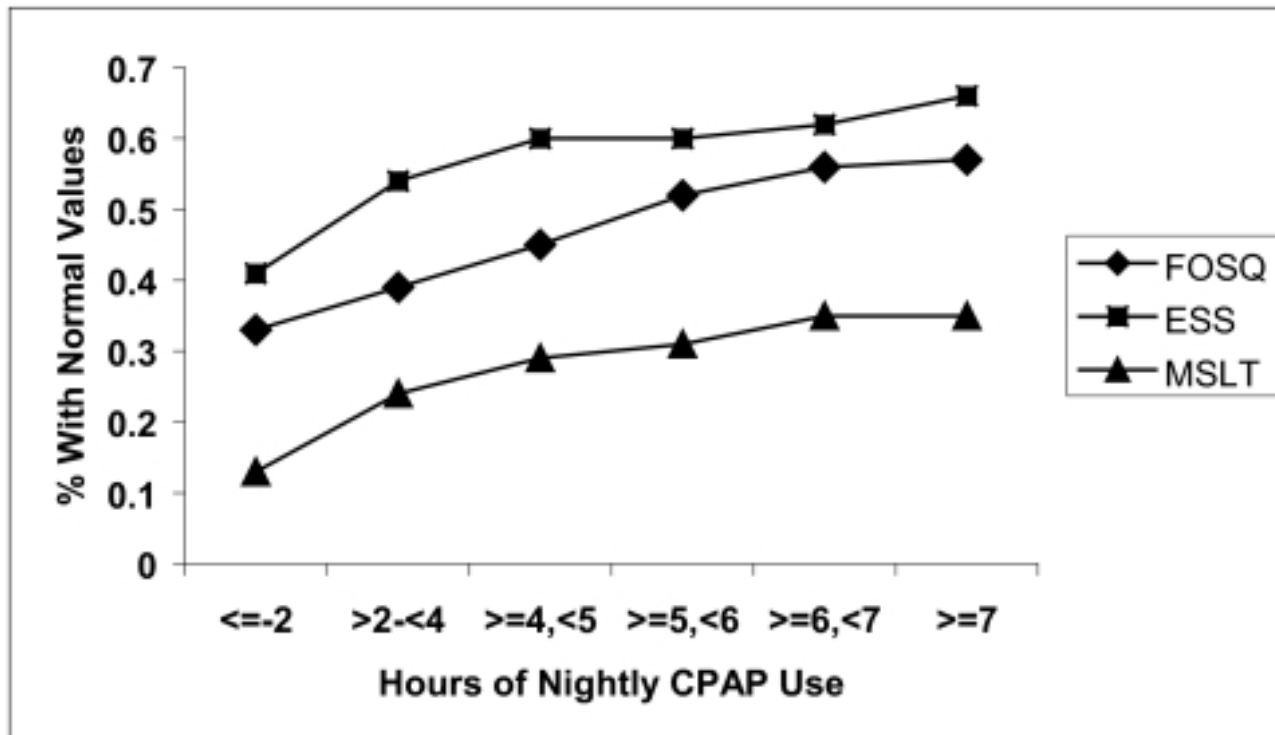


# Benefits of CPAP: Sleepiness & Functional Outcomes



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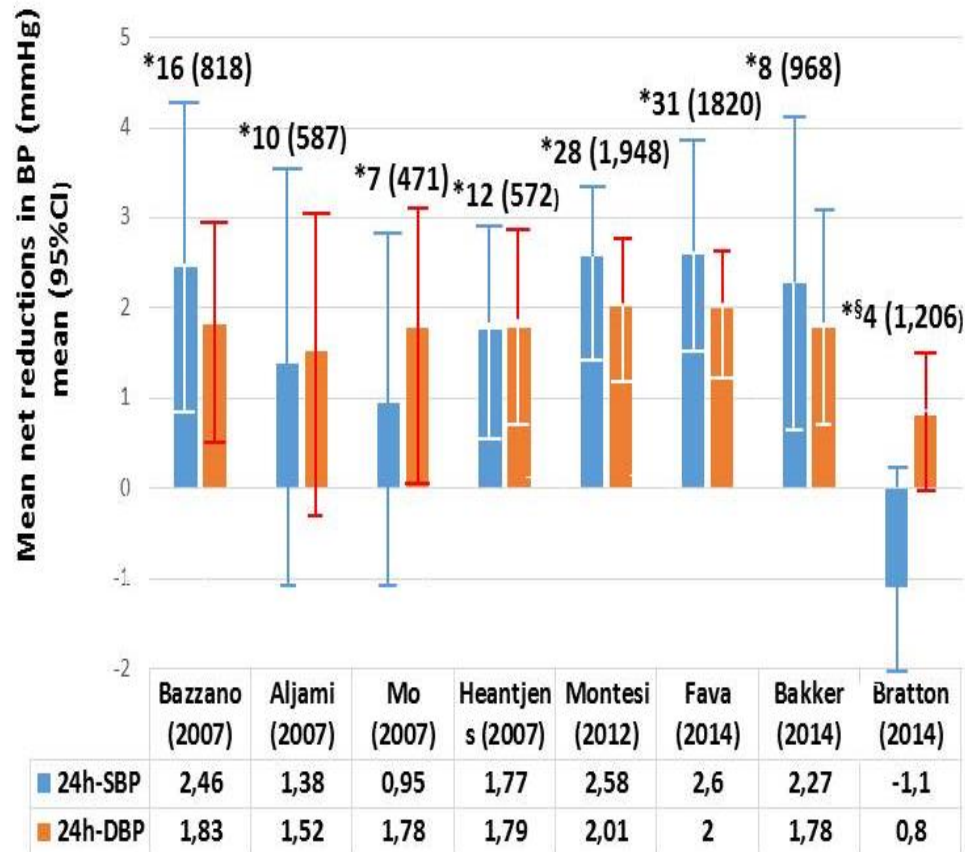
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FOSQ = Functional Outcomes of Sleep Questionnaire  
ESS = Epworth Sleepiness Scale  
MSLT = Multiple Sleep Latency Test

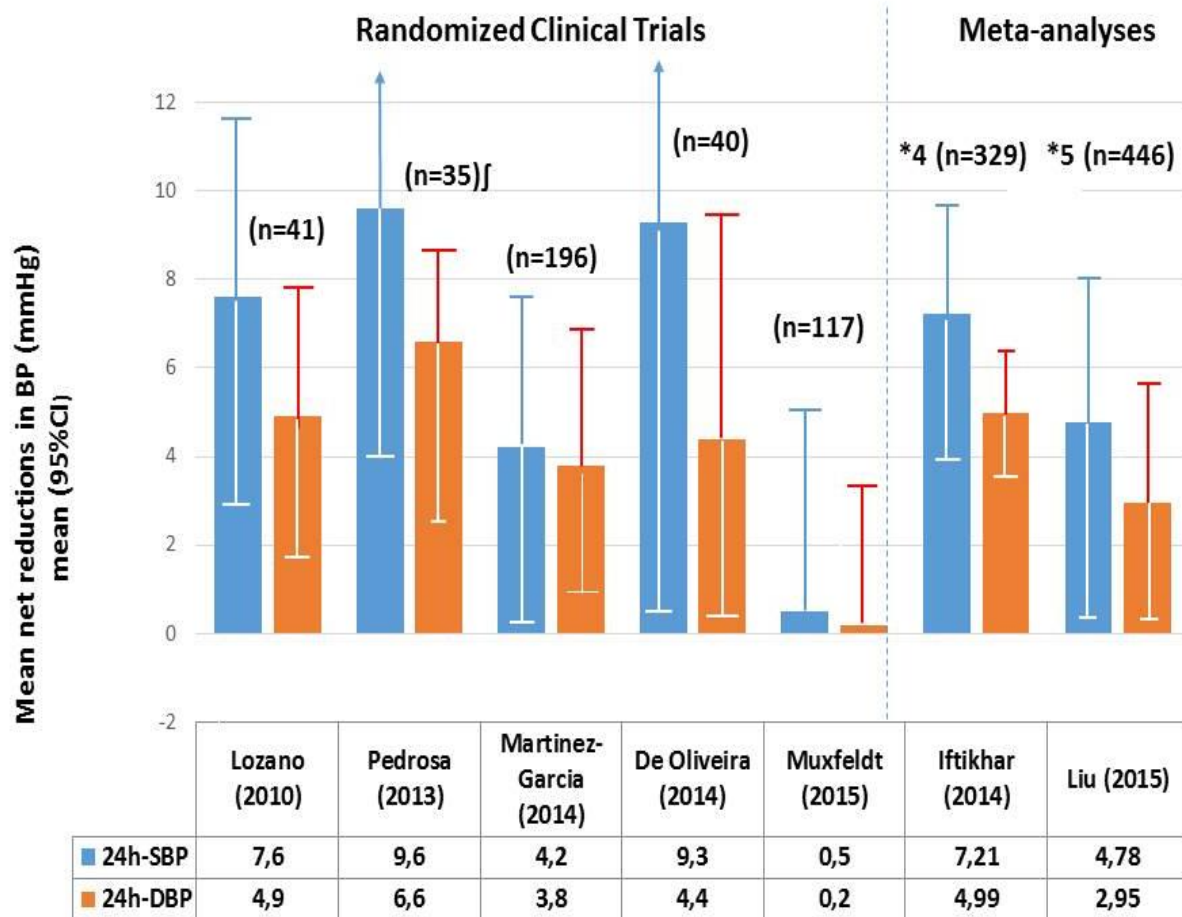
Weaver TE, et al. *Sleep*. 2007;30(6):715.

**Effect of CPAP therapy on blood pressure in patients with simple hypertension**  
**Summary of meta-analyses of RCTs. Positive figures mean improvement in BP with CPAP**  
**\*Number of studies included; ( number of patients included). Patients without EDS**  
**CI= confidence interval( Javaheri et al, JACC 2017)**





## Effect of CPAP therapy on blood pressure in patients with resistant hypertension in 5 randomized controlled trials and 2 meta-analyses ( Javaheri et al, JACC 2017)

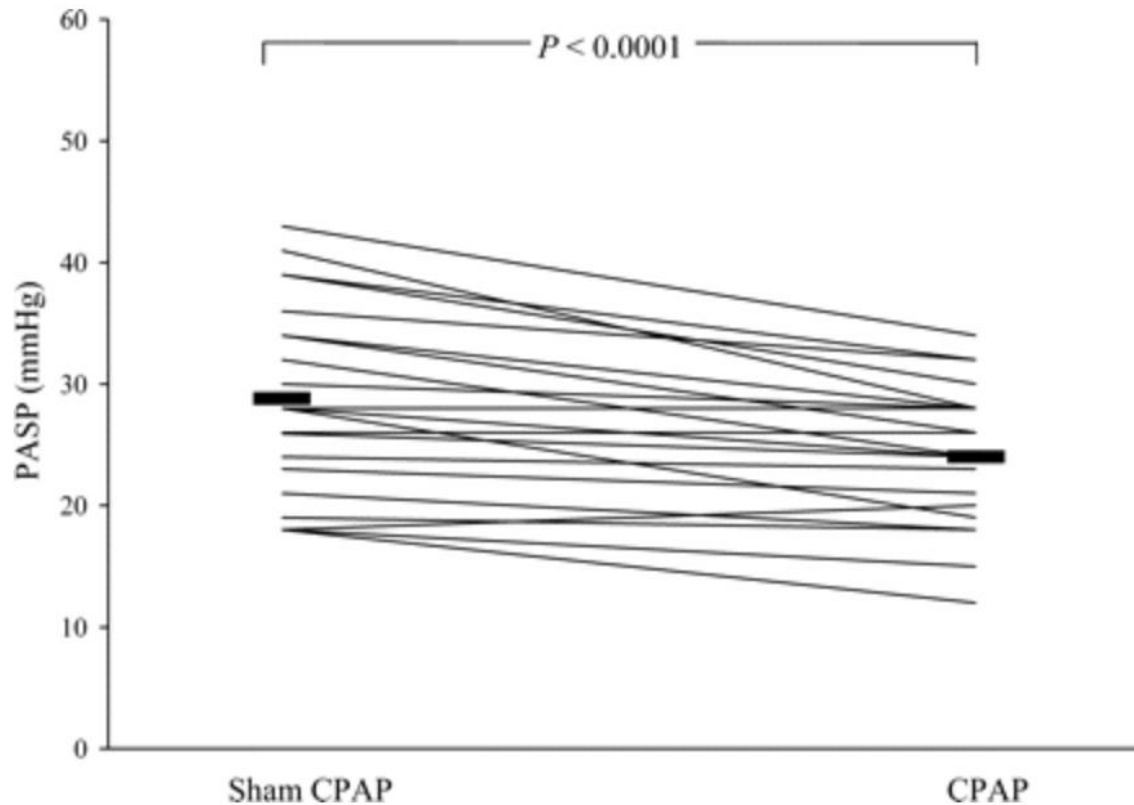


# Effects of CPAP on pulmonary hypertension



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# Effects of CPAP on Cardiac Death, MI and Stroke



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- Positive effects of CPAP in observational studies
- No significant effect in randomized controlled trials when analyzed on an intention-to-treat basis.
- When only CPAP compliant patients were analyzed, positive effects of CPAP were seen.

Barbe, JAMA 2012; 307: 2161-7

Peker, Am J Respir Crit Care Med 2016; 194:613-20

McEvoy, NEJM 2016; 375: 919-31

# Effects of CPAP in Heart Failure



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- CPAP reduces vascular and myocardial sympathetic activity
- CPAP improves diastolic dysfunction
- Treatment of OSA is associated with reduced readmission rate, health cost and mortality

# Bi-Level: Indications for Use



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- **OSA** patients with:
  - Intolerance of CPAP pressures
  - Hypoxemia despite resp. event control
  - Elevated CO<sub>2</sub> levels despite resp. event control
- **Hypoventilation syndrome**
- **Complex or Central Sleep Apnea**

# Bi-Level



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- Provides independently set pressures called IPAP and EPAP to maintain airway stability and support ventilation requirements while the patient sleeps
  - IPAP
  - EPAP

# Bi-Level Terminology



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- Rise Time = the time it takes for BiPAP to change from EPAP to IPAP. You can adjust for patient comfort.
- Tidal Volume -Vt

# Bi-Level S (spontaneous mode) indications outside of OSA



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- Can be used with the following patients:
  - Obesity hypoventilation
  - Neuromuscular weakness disorders
  - Restrictive thoracic disease
  - Obstructive lung disease



# Bi-Level S (spontaneous mode)



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- Used with patients who are able to maintain a constant respiratory rate, but require an IPAP:EPAP pressure difference to augment tidal volume while you sleep

# Bi-Level S/T (timed back up rate)



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- This mode is used with patients that require:
  - Time rate from the device to support their inconsistent respiratory pattern (more common in NM disease)

# Bi-Level S/T (timed back up rate)



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- Pressure support to augment their tidal volume when the device provides a breath to the patient
- Patient has the ability to spontaneously initiate breaths or tolerate timed back up breaths from the device.

# Titration for Control of Apnea



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- Increase (**EPAP**) to control obstructive apnea
- Increase (**IPAP**) (maintaining 4-8 cm difference from EPAP) to control **hypopneas and snoring**.
- **Difference between the pressures is usually maintained between 4-8 cm**

Central apneas → may attempt a back up rate

# Titration of Bi-Level for Persistent Hypoxemia in OSA



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- Titration Bi-Level to pressures appropriate for control of apnea, hypopnea and snoring
- If hypoxemia persists, to augment tidal volume increase IPAP in increments in an attempt to improve O<sub>2</sub> saturation

# Titration of Bi-Level for Persistent Hypoxemia in OSA



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- If such increases in pressure support does not benefit sats or increases not tolerated, add supplemental O<sub>2</sub> as needed to maintain stats  $\geq$  89-90%

**NOT ALL HYPOXEMIA IS OBSTRUCTIVE  
HYPOVENTILATION**

# Titration of Bi-Level for Control of Hypoventilation



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1. Transcutaneous (TCCO<sub>2</sub>) or End-Tidal CO<sub>2</sub> (ETCO<sub>2</sub>) monitoring.
  2. *Excessive leakage must be prevented.*
  3. Initiate Bi-Level at pressure **previously demonstrated as effective to control obstructive apnea**. Initiate **IPAP at (EPAP +6cm)**.
  4. Increase EPAP only until obstructive events are controlled.
- **Increase EPAP --increase IPAP same**
  - **\*\*\*Want lowest possible EPAP**

# Titration of Bi-Level for control of hypoventilation (cont'd)



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5. Increase IPAP (as tolerated) until the following parameters are achieved:

- a.  $TcCO_2 < 50$  mm (**or** RR 2-4 BPM < baseline wake RR)
- b. Minimal hypopneas
- c. Improvement in O<sub>2</sub> sat if > 89%



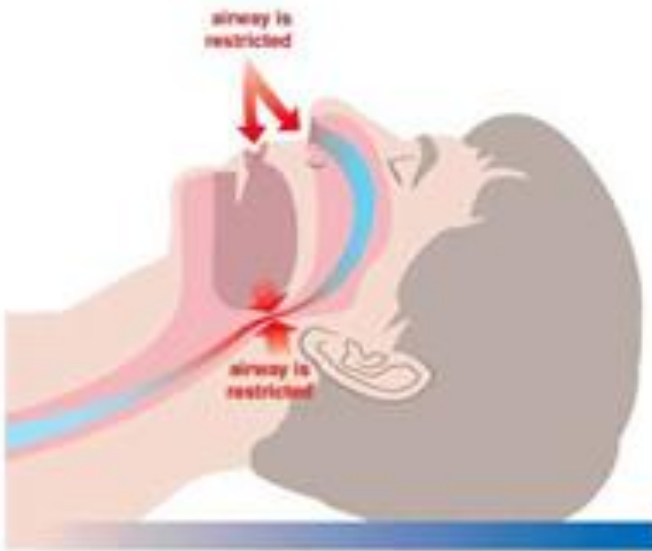
# Mandibular Repositioning Devices



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Without MRD airway is collapsed



With MRD mandible is pulled anterior and airway is open



Images from <http://www.topsnoringmouthpieces.com>



SPECIAL ARTICLES

JCSM

Journal of Clinical  
Sleep Medicine

pii: jc-00186-15

<http://dx.doi.org/10.5664/jcsm.4858>

# **Clinical Practice Guideline for the Treatment of Obstructive Sleep Apnea and Snoring with Oral Appliance Therapy: An Update for 2015**

An American Academy of Sleep Medicine and American Academy of Dental Sleep Medicine  
Clinical Practice Guideline

Kannan Ramar, MBBS, MD<sup>1</sup>; Leslie C. Dort, DDS<sup>2</sup>; Sheri G. Katz, DDS<sup>3</sup>; Christopher J. Lettieri, MD<sup>4</sup>; Christopher G. Harrod, MS<sup>5</sup>;  
Sherene M. Thomas, PhD<sup>5</sup>; Ronald D. Chervin, MD<sup>6</sup>

**Table 5**—Summary of recommendation statements.

| Recommendation Statement   | Strength of Recommendation | Quality of Evidence | Benefits versus Harms Assessment |
|--|----------------------------|---------------------|----------------------------------|
| <b>The Use of Oral Appliances for Treatment of Primary Snoring in Adults</b>   |                            |                     |                                  |
| We recommend that sleep physicians prescribe oral appliances, rather than no therapy, for adult patients who request treatment of primary snoring (without obstructive sleep apnea).   | STANDARD                   | High                | Benefits clearly outweigh harms  |
| <b>The Use of Oral Appliances for Treatment of Obstructive Sleep Apnea in Adults</b>   |                            |                     |                                  |
| When oral appliance therapy is prescribed by a sleep physician for an adult patient with obstructive sleep apnea, we suggest that a qualified dentist use a custom, titratable appliance over non-custom oral devices.                                   | GUIDELINE                  | Low                 | Benefits clearly outweigh harms  |
| We recommend that sleep physicians consider prescription of oral appliances, rather than no treatment, for adult patients with obstructive sleep apnea who are intolerant of CPAP therapy or prefer alternate therapy.                                   | STANDARD                   | Moderate            | Benefits clearly outweigh harms  |
| We suggest that qualified dentists provide oversight—rather than no follow-up—of oral appliance therapy in adult patients with obstructive sleep apnea, to survey for dental-related side effects or occlusal changes and reduce their incidence.        | GUIDELINE                  | Low                 | Benefits clearly outweigh harms  |
| We suggest that sleep physicians conduct follow-up sleep testing to improve or confirm treatment efficacy, rather than conduct follow-up without sleep testing, for patients fitted with oral appliances.  | GUIDELINE                  | Low                 | Benefits clearly outweigh harms  |
| We suggest that sleep physicians and qualified dentists instruct adult patients treated with oral appliances for obstructive sleep apnea to return for periodic office visits—as opposed to no follow-up—with a qualified dentist and a sleep physician. | GUIDELINE                  | Low                 | Benefits clearly outweigh harms  |

# MRD Predictors of Effectiveness



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- Lower initial AHI
- Lower age
- Lower BMI
- Supine-dependent OSA
- Certain cephalometric variables such as shorter soft palate or decreased distance between the mandibular plane and the hyoid bone
- Low nasal resistance

Takaesu, JCSM; 2016  
Ramar; Journal Dental Sleep Med; 2015  
Eriksson; Sleep Breath; 2015  
Ferguson; Sleep; 2006

# MRD Contradictions



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- Insufficient number of teeth to hold device
- Untreated periodontal disease or tooth mobility
- Active TMJ disorder
- Limited maximum protrusive distance (<6 mm)
- Significant bruxism (teeth grinding)

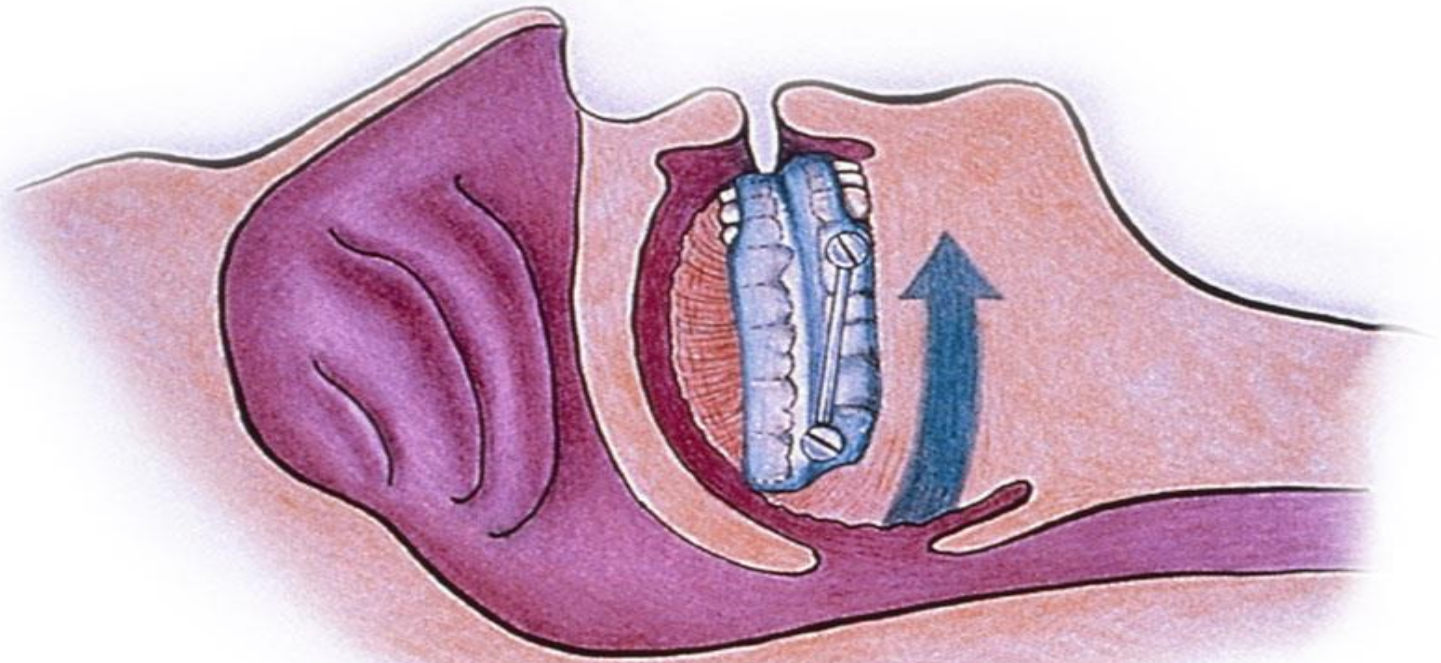
Petit; Am J Respir Crit Care Med; 2002

Ferguson; Sleep; 2006

# Oral Appliance: Mechanics



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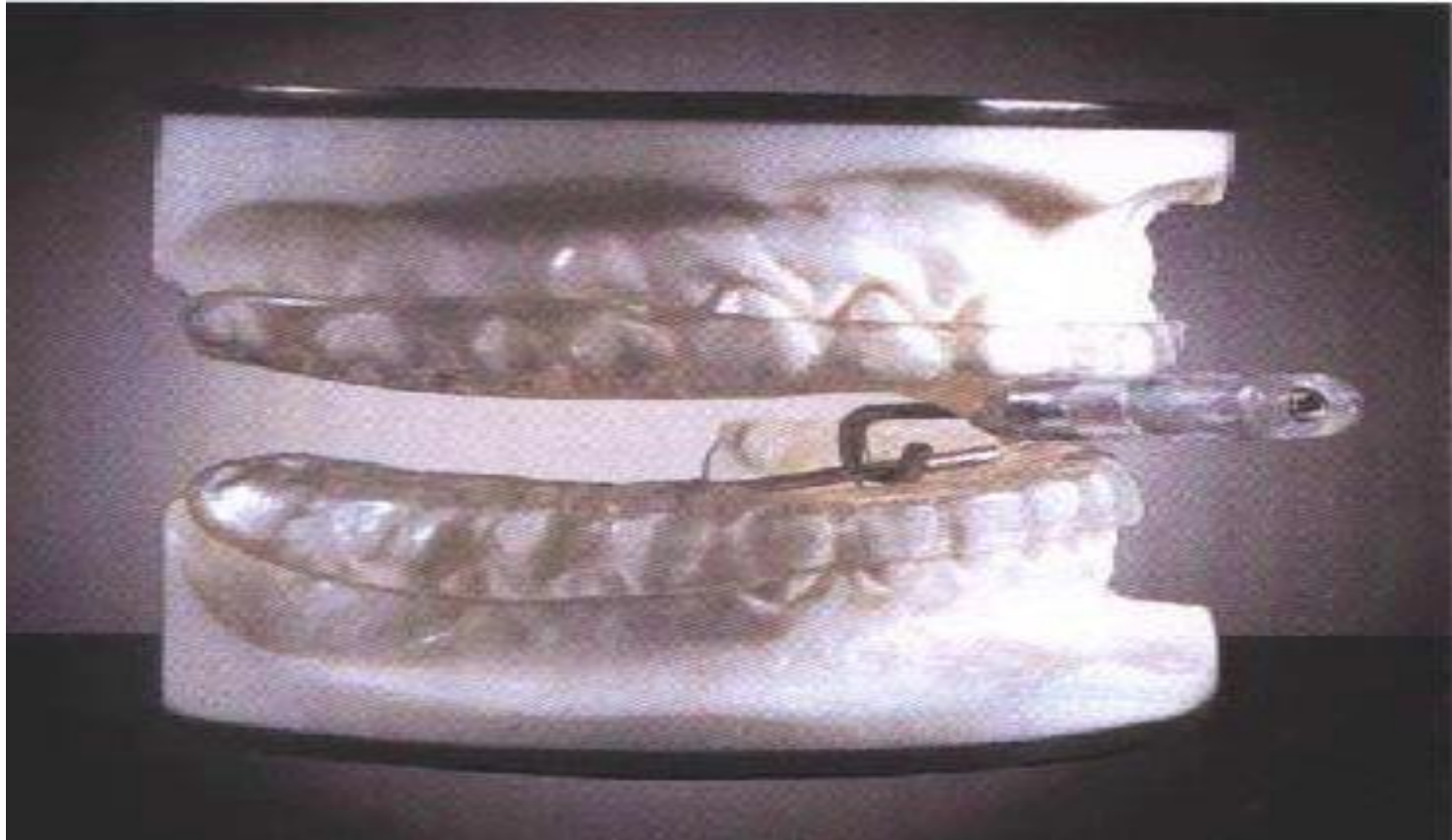


# Thornton Adjustable Positioner (TAP)



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# Positional Therapy



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*“An effective secondary therapy or supplement to primary therapies for OSA in patients who have a lower AHI in the non-supine versus that in the supine position.” (Guideline)*

Morgenthaler; AASM Practice parameters; SLEEP 2006

- Patients more likely to have supine dependent OSA are typically:
  - Younger
  - Less obese
  - Have less severe OSA



# Does sleeping on your back put you in a tough position?



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- Positional Obstructive Sleep Apnea (POSA) is different from other types of OSA—it is a specific condition in which the vast majority of symptoms occur when you sleep on your back. According to a recent clinical study, exclusive POSA was present in between 36% and 47% of OSA patients.
- If you have POSA, you can manage the position you sleep in which may help to decrease your POSA symptoms.
- What treatment will position you to be at your best?

# Sleep-Position Training



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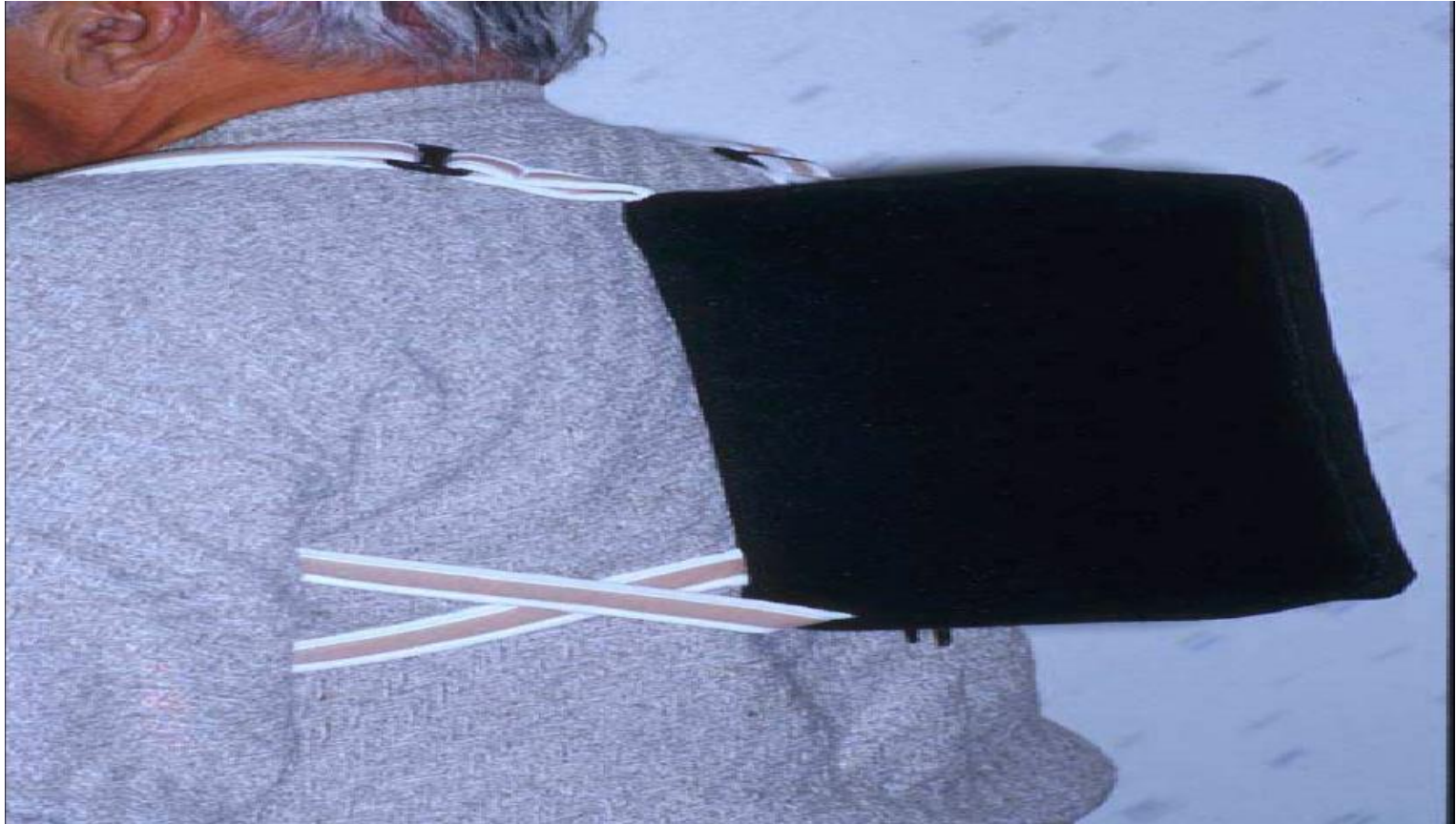


# Positional Retraining Devices- Parker's Snore relief cushion



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# NightBalance Lunoa by Philips

A simple sleep solution for patients with positional obstructive sleep apnea.



Low vibration sleep therapy belt

[Learn More](#)

# Surgical Therapy for OSA



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| Surgery Type | Purpose                         | Examples  |
|--------------|---------------------------------|---|
| Adjunctive   | Facilitate PAP or MRD adherence | Turbinate reduction, polypectomy, septoplasty,  |
| Salvage      | In place of PAP or MRD          | Palatal/lingual radiofrequency ablation, UPPP, Lingual advancement, maxillo/mandibular advancement, tracheostomy, hypoglossal nerve stimulation |

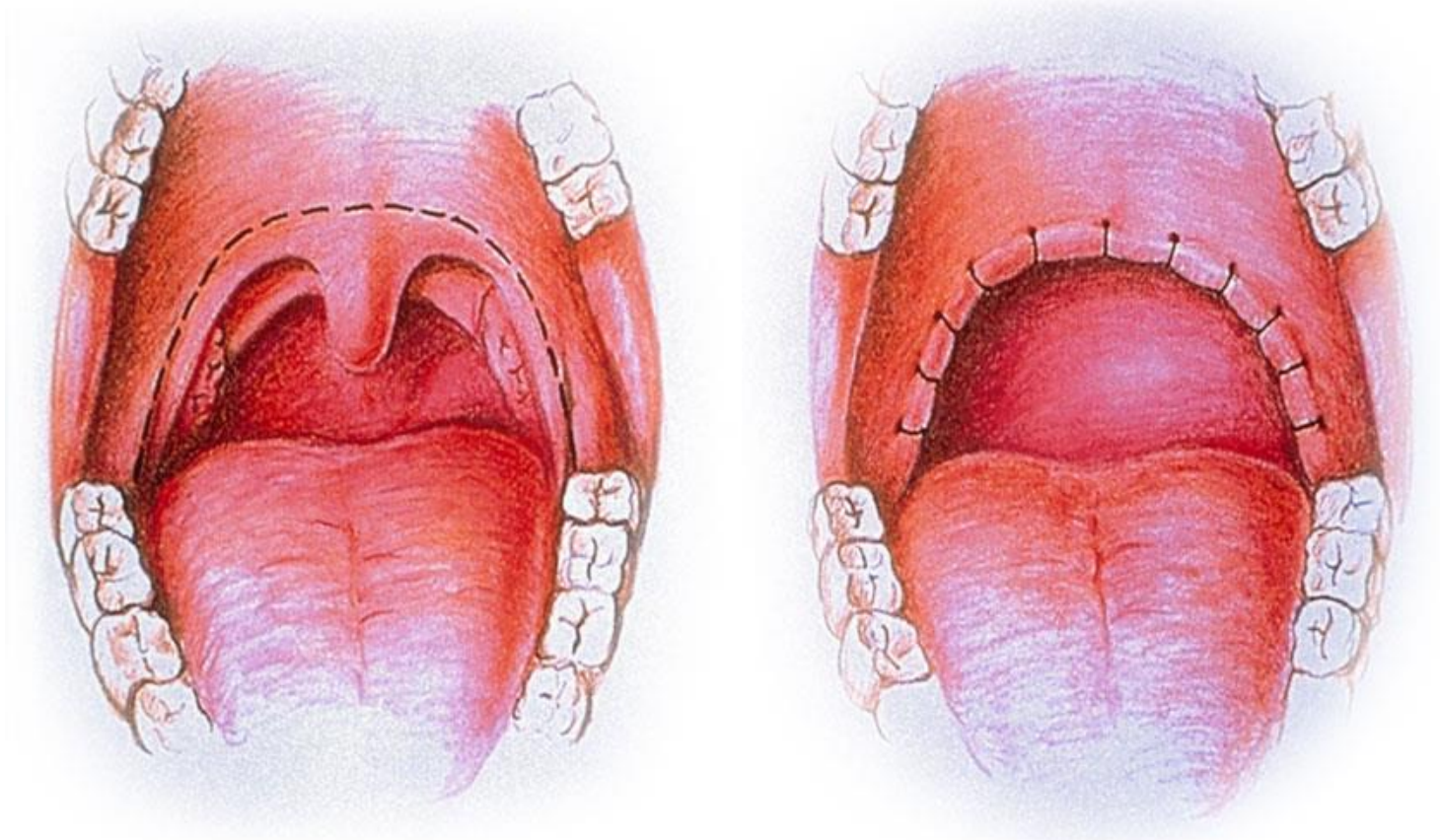


# Uvulopalatopharyngoplasty (UPPP)



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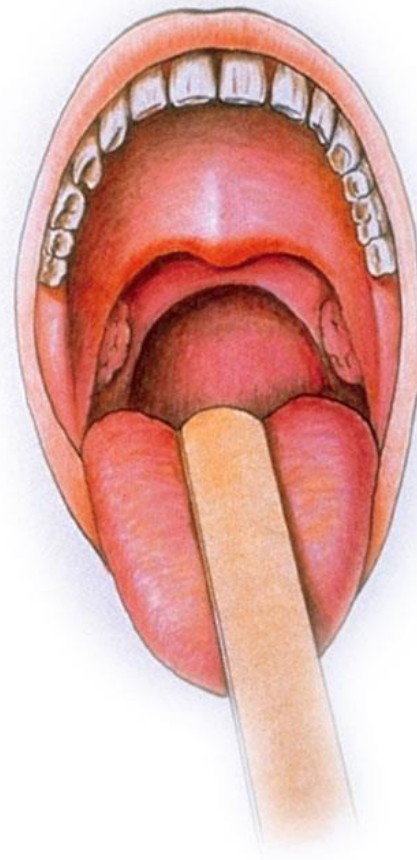
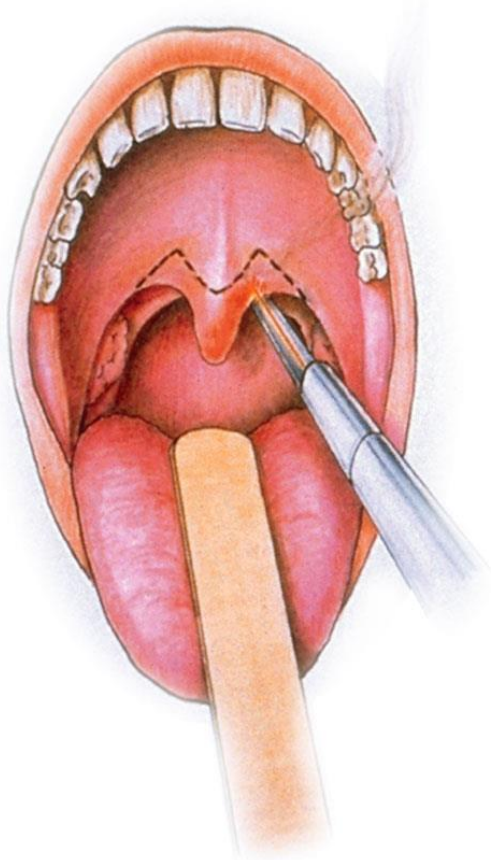


# Laser-Assisted Uvulopalatopharyngoplasty (LAUP)



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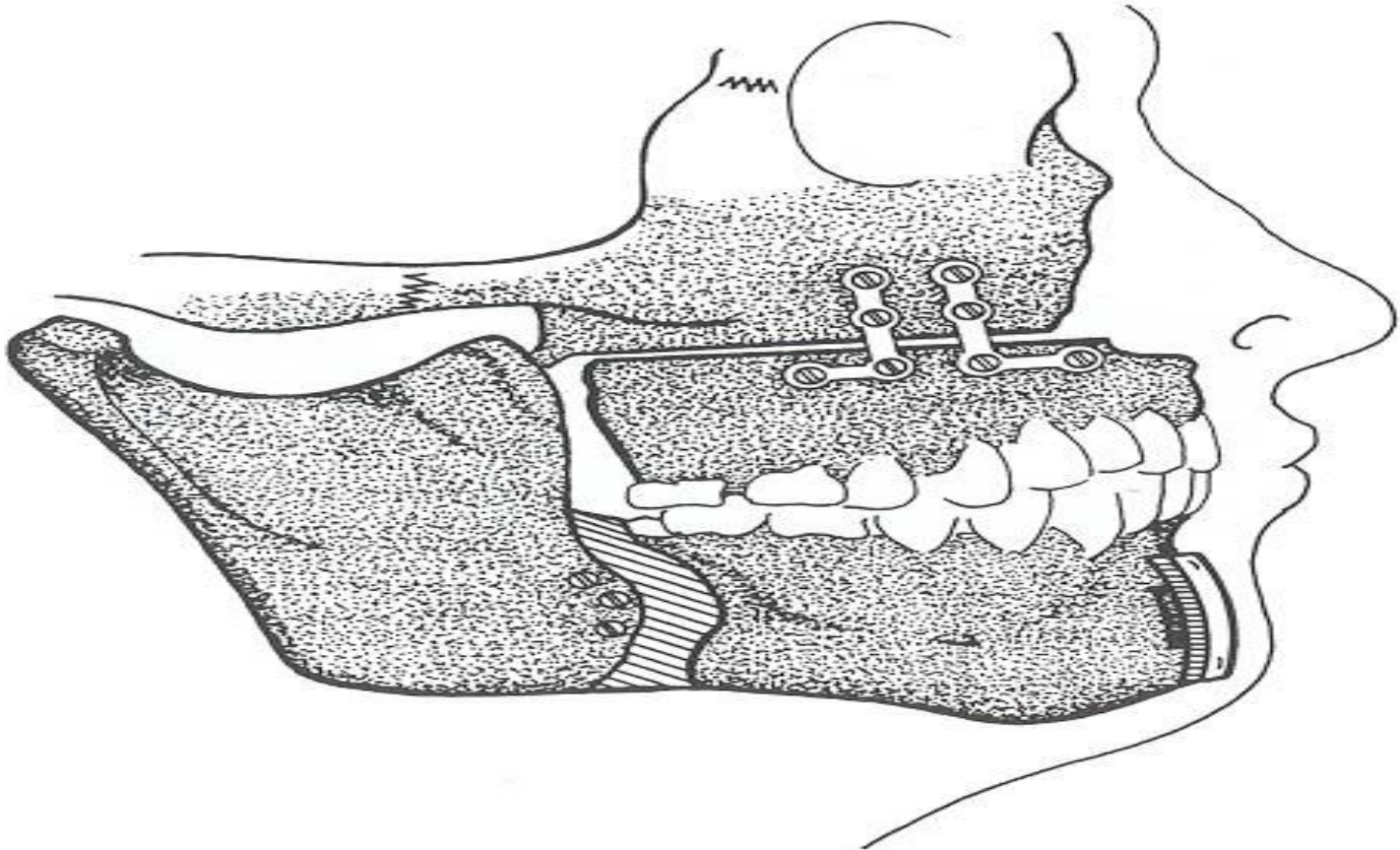


# Surgical Management- MMA



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## Practice Parameters for the Surgical Modifications of the Upper Airway for Obstructive Sleep Apnea in Adults

R. Nisha Aurora, MD<sup>1</sup>; Kenneth R. Casey, MD<sup>2</sup>; David Kristo, MD<sup>3</sup>; Sanford Auerbach, MD<sup>4</sup>; Sabin R. Bista, MD<sup>5</sup>; Susmita Chowdhuri, MD<sup>6</sup>; Anoop Karippot, MD<sup>7</sup>; Carin Lamm, MD<sup>8</sup>; Kannan Ramar, MD<sup>9</sup>; Rochelle Zak, MD<sup>10</sup>; Timothy I. Morgenthaler, MD<sup>9</sup>

***4.3.6 Radiofrequency ablation (RFA): RFA can be considered as a treatment in patients with mild to moderate obstructive sleep apnea who cannot tolerate or who are unwilling to adhere to positive airway pressure therapy, or in whom oral appliances have been considered and found ineffective or undesirable [Review Section 3.4.1; 3.4.2; 3.4.3; 3.4.4; Figure 8, 9] (Option).***

## Practice Parameters for the Surgical Modifications of the Upper Airway for Obstructive Sleep Apnea in Adults

R. Nisha Aurora, MD<sup>1</sup>; Kenneth R. Casey, MD<sup>2</sup>; David Kristo, MD<sup>3</sup>; Sanford Auerbach, MD<sup>4</sup>; Sabin R. Bista, MD<sup>5</sup>; Susmita Chowdhuri, MD<sup>6</sup>; Anoop Karippot, MD<sup>7</sup>; Carin Lamm, MD<sup>8</sup>; Kannan Ramar, MD<sup>9</sup>; Rochelle Zak, MD<sup>10</sup>; Timothy I. Morgenthaler, MD<sup>9</sup>

***4.3.3 Uvulopalatopharyngoplasty (UPPP) as a single surgical procedure: UPPP as a sole procedure, with or without tonsillectomy, does not reliably normalize the AHI when treating moderate to severe obstructive sleep apnea syndrome. Therefore, patients with severe OSA should initially be offered positive airway pressure therapy, while those with moderate OSA should initially be offered either PAP therapy or oral appliances. [Review Section 3.2.1; 3.2.2; Figure 4, 5; Table 2] (Option).***

## Practice Parameters for the Surgical Modifications of the Upper Airway for Obstructive Sleep Apnea in Adults

R. Nisha Aurora, MD<sup>1</sup>; Kenneth R. Casey, MD<sup>2</sup>; David Kristo, MD<sup>3</sup>; Sanford Auerbach, MD<sup>4</sup>; Sabin R. Bista, MD<sup>5</sup>; Susmita Chowdhuri, MD<sup>6</sup>; Anoop Karippot, MD<sup>7</sup>; Carin Lamm, MD<sup>8</sup>; Kannan Ramar, MD<sup>9</sup>; Rochelle Zak, MD<sup>10</sup>; Timothy I. Morgenthaler, MD<sup>9</sup>

***4.3.2 Maxillo-Mandibular Advancement (MMA): MMA is indicated for surgical treatment of severe OSA in patients who cannot tolerate or who are unwilling to adhere to positive airway pressure therapy, or in whom oral appliances, which are more often appropriate in mild and moderate OSA patients, have been considered and found ineffective or undesirable [Review Section 3.1; Figure 2, 3] (Option).***

## Practice Parameters for the Surgical Modifications of the Upper Airway for Obstructive Sleep Apnea in Adults

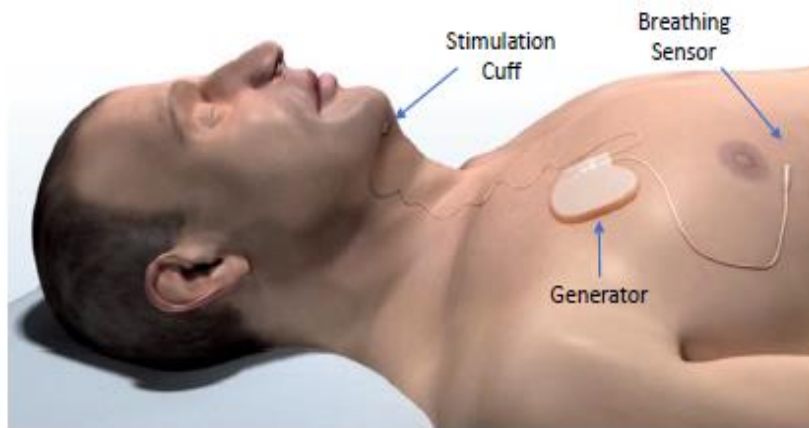
R. Nisha Aurora, MD<sup>1</sup>; Kenneth R. Casey, MD<sup>2</sup>; David Kristo, MD<sup>3</sup>; Sanford Auerbach, MD<sup>4</sup>; Sabin R. Bista, MD<sup>5</sup>; Susmita Chowdhuri, MD<sup>6</sup>; Anoop Karippot, MD<sup>7</sup>; Carin Lamm, MD<sup>8</sup>; Kannan Ramar, MD<sup>9</sup>; Rochelle Zak, MD<sup>10</sup>; Timothy I. Morgenthaler, MD<sup>9</sup>

***4.3.1 Tracheostomy: Tracheostomy has been shown to be an effective single intervention to treat obstructive sleep apnea. This operation should be considered only when other options do not exist, have failed, are refused, or when this operation is deemed necessary by clinical urgency (Option).***

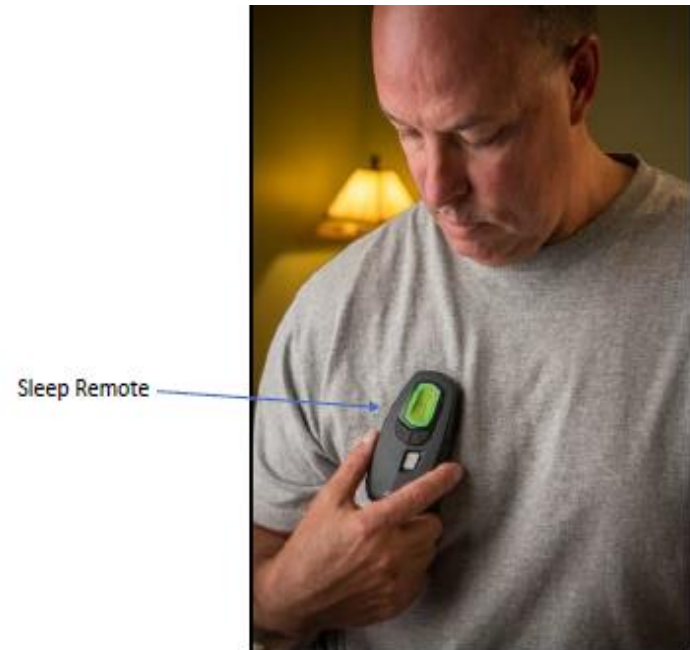
# Upper Airway Stimulation



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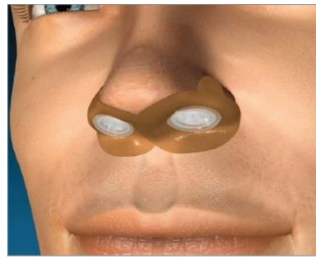
- Safe outpatient procedure – 3 skin incisions
- Fast recovery; over-the-counter pain meds typical
- MRI conditional labeling
- ~11 year battery longevity



- Adjustable
- Titratable
- Daily adherence monitoring

# Nasal EPAP-Long-Term Use of a Nasal Expiratory Positive Airway Pressure (EPAP) Device as a Treatment for Obstructive Sleep Apnea (OSA)

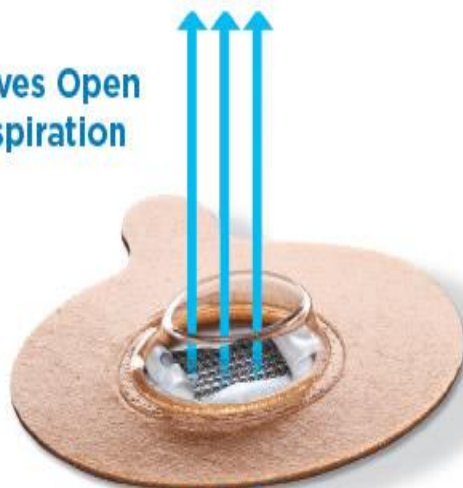
- Provent nasal strips



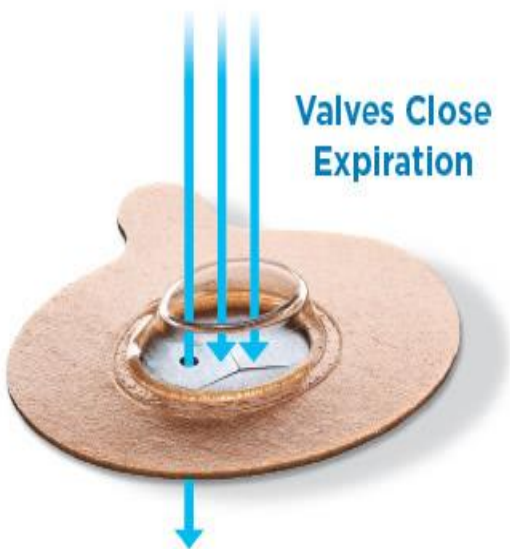
Single use valves are inserted into each nostril and sealed with adhesive.

- Bongo device-nasal dilators

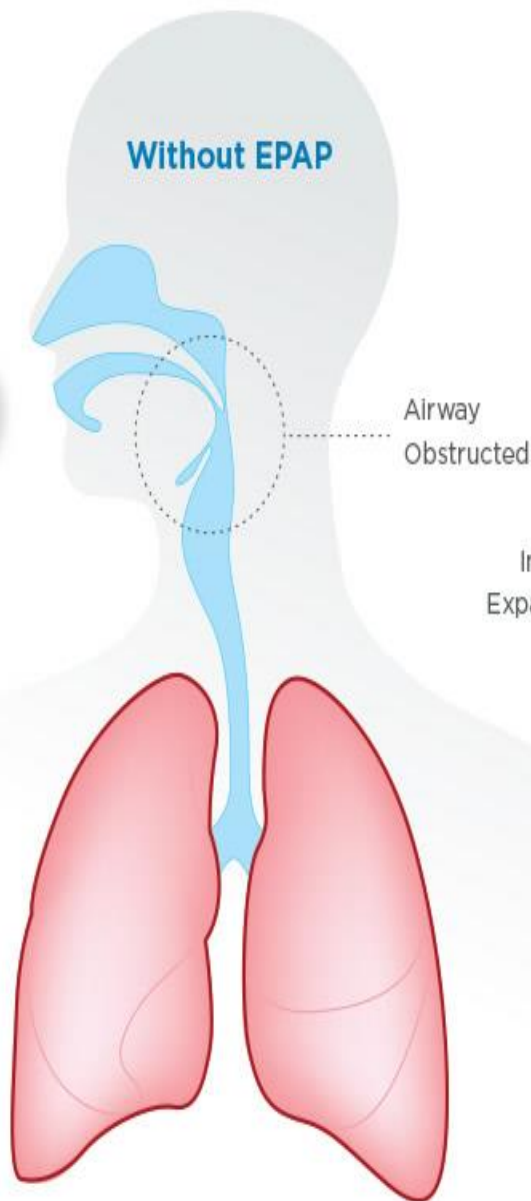
**Valves Open  
Inspiration**



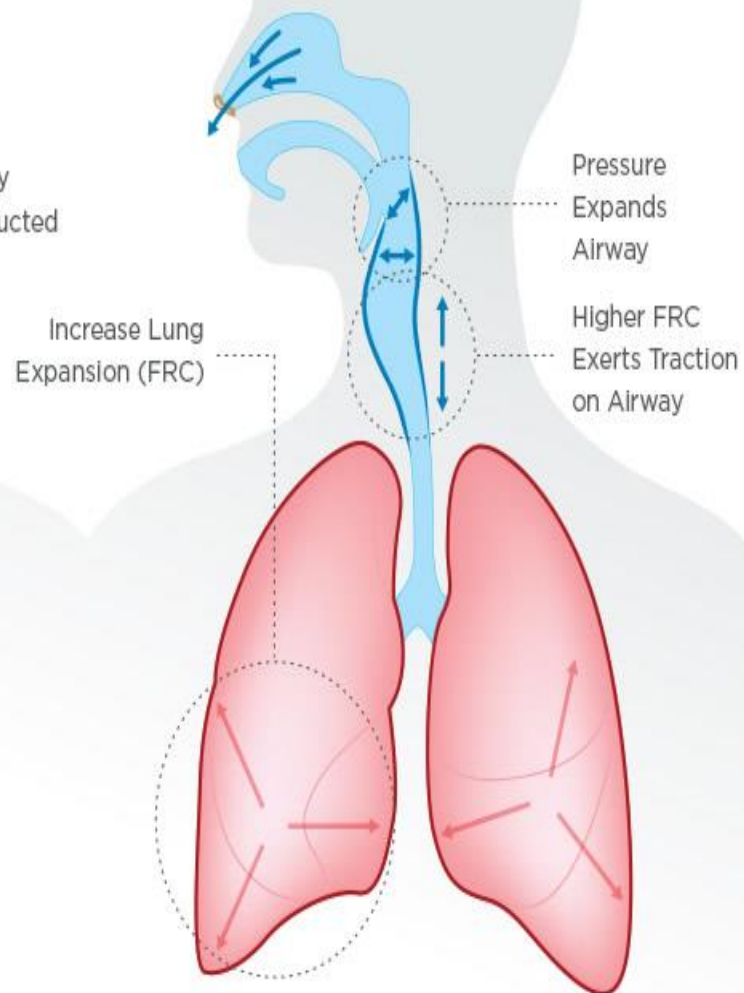
**Valves Close  
Expiration**



**Without EPAP**



**With EPAP**



# Obstructive Sleep Apnea is:



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- Common
- Impacts long-term health
- Easily recognizable
- Treatable



# Complex Sleep Apnea- TECA- Treatment emergent CSA

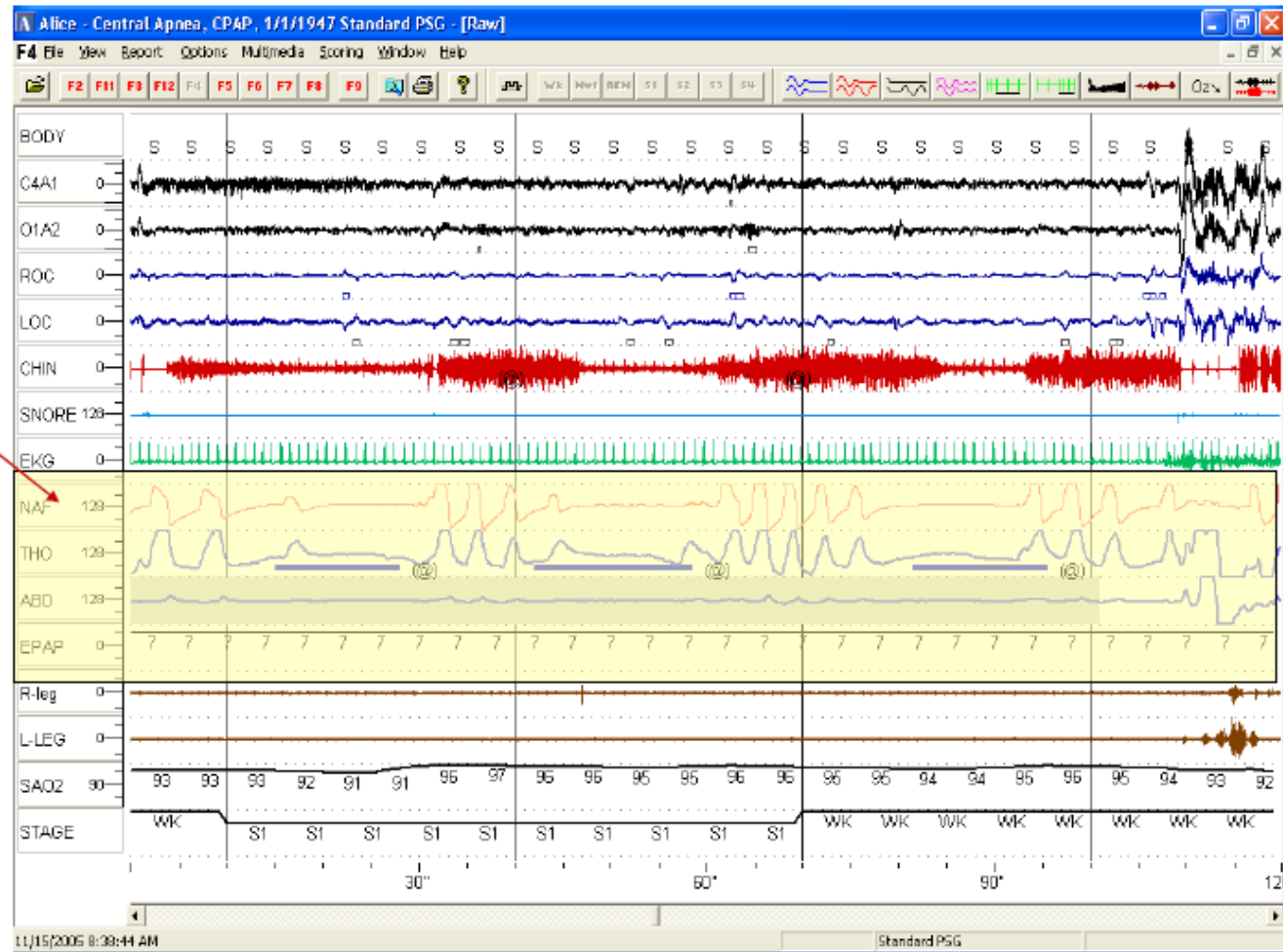


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- OSA which converts to central apnea with CPAP application
  - Typically emerges during titration
  - Not obvious during diagnostic PSG
  - Often occurs at ~30 second intervals v. 60-90 second intervals with CSR
- Minimal data available
  - Estimated prevalence /7 or ~15% of the SDB population

Central apnea emerges on patient with OSA and CPAP therapy on 7 cm H<sub>2</sub>O (seen with highlighted area)



The change of the RR changes CO<sub>2</sub>



Brain reads the change in CO<sub>2</sub> as “hyperventilation”



Represents as central apneas during the CPAP titration



Central apnea results in rising CO<sub>2</sub> levels and re-establishes drive to breathe

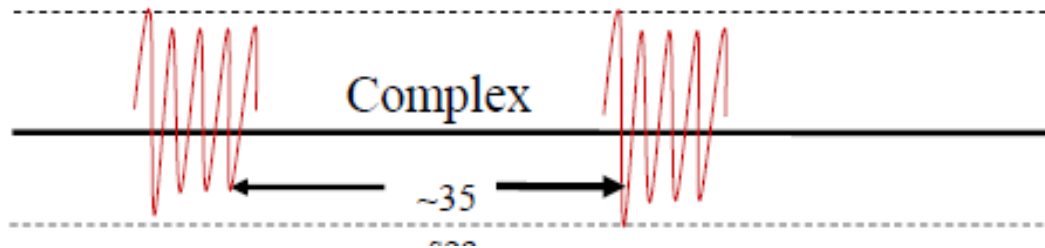
# Complex Sleep Apnea- Treatment Emergent CSA



20<sup>th</sup>  
ANNUAL

JEAN S. MARX  
Memorial Education Forum

Due to a combination of upper airway resistance and abnormal 1,2  
Often a temporary abnormality of ventilator control

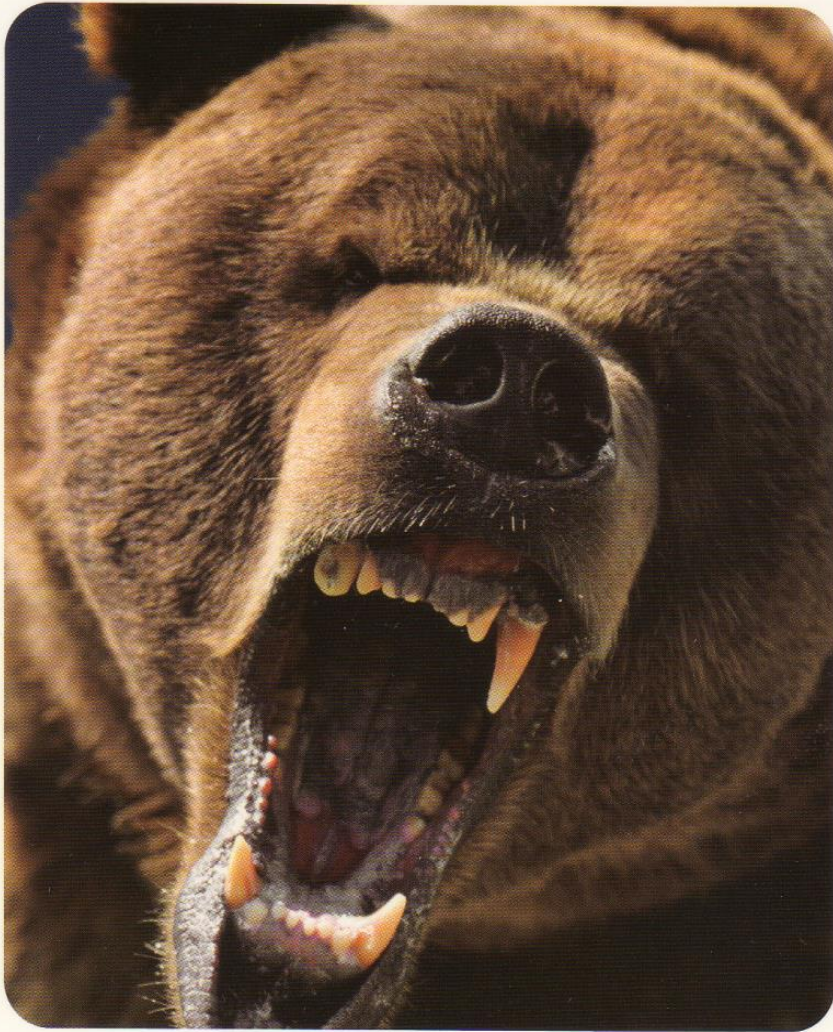


OSA eliminated with CPAP → allows for normal RR  
Chemoreceptor issues are unmasked when OSA is eliminated

*Life is not measured by the breaths  
we take but by the moments that  
take our breath away*

**Maya Angelou**





4:00 am



5:42 am

An extra 1 hour and 42 minutes of sleep makes a big difference.